AMYRIS, INC. Form 4 September 30, 2010

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person |
|---|
| Khosla Ventures II, L.P.                |

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

AMYRIS, INC. [AMRS]

09/30/2010

(Check all applicable)

(Last) (First) (Middle)

(Zin)

3. Date of Earliest Transaction (Month/Day/Year)

Director \_X\_\_ 10% Owner Officer (give title \_\_ Other (specify

3000 SAND HILL

ROAD, BUILDING 3, SUITE 190

(Street)

(State)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line) Form filed by One Reporting Person

Filed(Month/Day/Year)

X Form filed by More than One Reporting Person

MENLO PARK, CA 94025

(City)

| (City)                               | (State)                                 | (Zip) Tab   | le I - Non-l                            | Derivative Sec                            | urities | s Acqui    | ired, Disposed of  | , or Beneficial  | ly Owned  |
|--------------------------------------|---|---|---|---|---------|------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities on Disposed (Instr. 3, 4 an | of (D)  | red (A)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|                                      |   |   | Code V                                  | Amount                                    | (D)     | Price      | (IIISII. 3 aliu 4)   |  |   |
| Common<br>Stock                      | 09/30/2010                              |   | C                                       | 419,687                                   | A       | <u>(1)</u> | 564,853  | I  | See Footnote (2)  |
| Common<br>Stock                      | 09/30/2010                              |   | C                                       | 3,179,674                                 | A       | (3)        | 3,179,674  | I  | See<br>Footnote   |
| Common<br>Stock                      | 09/30/2010                              |   | C                                       | 155,311                                   | A       | <u>(5)</u> | 3,334,985  | I  | See Footnote (4)  |
| Common<br>Stock                      | 09/30/2010                              |   | C                                       | 58,472                                    | A       | <u>(3)</u> | 58,472   | D (6)  |   |

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Common 09/30/2010  $\mathbf{C}$ 2,856 A (5) 61,328 D (6) Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of 6. Date Exercisable and Expiration Date Securities (Month/Day/Year)  Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     | d 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                 |                            |
|---|---|---|---|--|--|---------------------|---|-----------------|----------------------------|
|   |   |   |   | Code V                                 | (A) (D)  | Date<br>Exercisable | Expiration Date   | Title           | Amount<br>Number<br>Shares |
| Series A<br>Convertible<br>Preferred<br>Stock       | (3)   | 09/30/2010                              |   | С                                      | 3,179,67   | 4 (3)               | (3)   | Common<br>Stock | 3,179,                     |
| Series B<br>Convertible<br>Preferred<br>Stock       | <u>(5)</u>  | 09/30/2010                              |   | С                                      | 138,919  | <u>(5)</u>          | <u>(5)</u>  | Common<br>Stock | 155,3                      |
| Series C<br>Convertible<br>Preferred<br>Stock       | (1)   | 09/30/2010                              |   | С                                      | 419,687  | <u>(1)</u>          | <u>(1)</u>  | Common<br>Stock | 419,6                      |
| Series A<br>Convertible<br>Preferred<br>Stock       | (3)   | 09/30/2010                              |   | С                                      | 58,472   | (3)                 | (3)   | Common<br>Stock | 58,4                       |
| Series B<br>Convertible<br>Preferred<br>Stock       | <u>(5)</u>  | 09/30/2010                              |   | С                                      | 2,555  | <u>(5)</u>          | <u>(5)</u>  | Common<br>Stock | 2,85                       |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|
| •                              | Director      | 10% Owner | Officer | Other |  |  |
|                                |               | X         |         |       |  |  |

Reporting Owners 2 Khosla Ventures II, L.P. 3000 SAND HILL ROAD BUILDING 3, SUITE 190 MENLO PARK, CA 94025

Khosla Ventures Associates II, LLC

3000 SAND HILL ROAD BUILDING 3, SUITE 190

MENLO PARK, CA 94025

Khosla Ventures III, L.P.
3000 SAND HILL ROAD
BUILDING 3, SUITE 190
MENLO PARK, CA 94025

Khosla Ventures Associates III, LLC

3000 SAND HILL ROAD BUILDING 3. SUITE 190

MENLO PARK, CA 94025

VK Services, LLC

3000 SAND HILL ROAD BUILDING 3, SUITE 190

MENLO PARK, CA 94025

## **Signatures**

| /s/ Khosla Ventures II, L.P., by Khosla Ventures Associates II, LLC, its General Partner   | 09/30/2010 |  |  |  |
|--|------------|--|--|--|
| **Signature of Reporting Person  | Date       |  |  |  |
| /s/ Khosla Ventures Associates II, LLC   | 09/30/2010 |  |  |  |
| **Signature of Reporting Person  | Date       |  |  |  |
| /s/ Khosla Ventures III, L.P., by Khosla Ventures Associates III, LLC, its General Partner |            |  |  |  |
| **Signature of Reporting Person  | Date       |  |  |  |
| /s/ Khosla Ventures Associates III, LLC  | 09/30/2010 |  |  |  |
| **Signature of Reporting Person  | Date       |  |  |  |
| /s/ VK Services, LLC, by Vinod Khosla, its Managing Director                               | 09/30/2010 |  |  |  |
| **Signature of Reporting Person  |            |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of the Issuer's Series C Preferred Stock converted automatically into shares of the Issuer's Common Stock on a 1 to 1 basis immediately prior to the closing of the Issuer's initial public offering and had no expiration date.
- The securities are owned by Khosla Ventures III, L.P. ("Khosla III"). Khosla Ventures Associates III, LLC ("KVA III") is the general partner of Khosla III and possesses sole voting and investment control over the shares owned by Khosla III and may be deemed to have indirect beneficial ownership of the shares held by Khosla III. KVA III however owns no securities of the Issuer directly. Each Reporting Person disclaims beneficial ownership of such shares except to the extent of its pecuniary interest therein.

**(3)** 

Signatures 3

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Each share of the Issuer's Series A Preferred Stock converted automatically into shares of the Issuer's Common Stock on a 1 to 1 basis immediately prior to the closing of the Issuer's initial public offering and had no expiration date.

- The securities are owned by Khosla Ventures II, L.P. ("Khosla II"). VK Services, LLC serves as the manager of Khosla Ventures Associates II, LLC ("KVA II"), which serves as the general partner of Khosla II, and VK Services, LLC possesses sole voting and
- (4) investment control over the shares owned by Khosla II and may be deemed to have indirect beneficial ownership of the shares held by Khosla II. KVA II however owns no securities of the Issuer directly. Each Reporting Person disclaims beneficial ownership of such shares except to the extent of its pecuniary interest therein.
- (5) Each share of the Issuer's Series B Preferred Stock converted automatically into shares of the Issuer's Common Stock on a 1 to 1.118 basis immediately prior to the closing of the Issuer's initial public offering and had no expiration date.
- (6) The securities are owned by VK Services, LLC. VK Services, LLC serves as the manager of KVA II.

#### **Remarks:**

**Exhibit List** 

Exhibit 99 - Joint Filer Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.