Edgar Filing: INTERCONTINENTALEXCHANGE INC - Form 4

INTERCONTINENTALEXCHANGE INC

Form 4

October 18, 2006

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

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January 31, 2005

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OMB APPROVAL

subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

10/16/2006

(Print or Type Responses)

1. Name and Address of Reporting Person * Sprecher Jeffrey C			2. Issuer Name and Ticker or Trading Symbol INTERCONTINENTALEXCHANGE INC [ICE]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
	·			e of Earliest Transaction h/Day/Year) 5/2006				X Director 10% OwnerX Officer (give title Other (specify below) Chief Executive Officer			
A 777 A N 777 A	(Street)	ndment, Date Original th/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting					
ATLANTA, GA 30328							Person				
(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								y Owned		
1.Title of Security (Instr. 3)		2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Securities Ownership Indirect Beneficially Form: Direct Beneficial Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) Fransaction(s)			
Common Stock	10/16/2006			Code V M	8,700	A	Price \$ 4.2	45,300 (1)	D		
Common Stock	10/16/2006			M	27,958	A	\$8	73,258 (1)	D		
Common Stock	10/16/2006			S(2)	505	D	\$ 79.84	72,753 (1)	D		
Common Stock	10/16/2006			S(2)	366	D	\$ 79.85	72,387 (1)	D		

 $S^{(2)}$

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D \$ 79.9 72,341 (1)

D

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Common Stock	10/16/2006	S(2)	1,147	D	\$ 79.91	71,194 (1)	D
Common Stock	10/16/2006	S(2)	367	D	\$ 79.92	70,827 (1)	D
Common Stock	10/16/2006	S(2)	92	D	\$ 79.96	70,735 (1)	D
Common Stock	10/16/2006	S(2)	670	D	\$ 80	70,065 (1)	D
Common Stock	10/16/2006	S(2)	64	D	\$ 80.01	70,001 (1)	D
Common Stock	10/16/2006	S(2)	92	D	\$ 80.03	69,909 (1)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day,	ate	7. Title and Underlying (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 4.2	10/16/2006		M	8,700	(3)	06/28/2010	Common Stock	8,700
Employee Stock Option (right to buy)	\$ 8	10/16/2006		M	27,958	(3)	12/11/2013	Common Stock	27,958

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Sprecher Jeffrey C

2100 RIVEREDGE PARKWAY SUITE 500 X Chief Executive Officer

ATLANTA, GA 30328

Signatures

/s/ Andrew J. Surdykowski, Attorney-In-Fact

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - As previously reported, the reporting person also indirectly owns shares that are beneficially owned directly by Continental Power Exchange, Inc. ("CPEX"). Prior to the reported transactions, the reporting person beneficially owns 95.5% of the equity interest in CPEX
- (1) directly and holds an irrevocable proxy to vote the remaining 4.5%. As a result of the transactions reported in this Form 4, the reporting person is purchasing an additional 1.5% interest in CPEX. Also, as previously reported, the reporting person also indirectly beneficially owns shares that are owned directly by the reporting person's spouse.
- (2) The sales reported in this Form 4 were effected pursuant to a previously disclosed Rule 10b5-1 trading plan adopted by the reporting person on May 16, 2006.
- (3) These options are fully vested.

Remarks:

This is the first of seven Forms 4 being filed by the reporting person as of the date of this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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