

STERLING MICHELLE M  
Form 4  
November 21, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL  
OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
STERLING MICHELLE M

2. Issuer Name and Ticker or Trading Symbol  
QUALCOMM INC/DE [QCOM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
5775 MOREHOUSE DR.  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/20/2018

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
EVP, Human Resources

SAN DIEGO, CA 92121-1714

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  |                                | (A) or (D) Code V Amount Price                                    |   |  |                                   |
| Common Stock                    | 11/20/2018                           |  | M                              | 3,612 A \$ 0  | 10,296  | I  | by Trust (1)                      |
| Common Stock                    | 11/20/2018                           |  | M                              | 2,420 A \$ 0  | 12,716  | I  | by Trust (1)                      |
| Common Stock                    | 11/20/2018                           |  | M                              | 7,162 A \$ 0  | 19,878  | I  | by Trust (1)                      |
| Common Stock                    | 11/20/2018                           |  | M                              | 8,416 A \$ 0  | 28,294  | I  | by Trust (1)                      |
| Common Stock                    | 11/20/2018                           |  | F                              | 1,249 D \$ 54.92  | 27,045  | I  | by Trust (1)                      |

Edgar Filing: STERLING MICHELLE M - Form 4

|              |            |   |       |   |          |        |   |              |
|--------------|------------|---|-------|---|----------|--------|---|--------------|
| Common Stock | 11/20/2018 | F | 953   | D | \$ 54.92 | 26,092 | I | by Trust (1) |
| Common Stock | 11/20/2018 | F | 3,551 | D | \$ 54.92 | 22,541 | I | by Trust (1) |
| Common Stock | 11/20/2018 | F | 4,173 | D | \$ 54.92 | 18,368 | I | by Trust (1) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title   |
| Restricted Stock Unit                      | (2)  | 11/20/2018                           |  | M                              | 3,612.6467  | (3) 11/20/2018(3)  | Common Stock 3  |
| Restricted Stock Unit                      | (2)  | 11/20/2018                           |  | M                              | 2,420.7534  | (3) 11/20/2018(3)  | Common Stock 2  |
| Restricted Stock Unit                      | (2)  | 11/20/2018                           |  | M                              | 7,162.199   | (4) 11/20/2019(4)  | Common Stock 7  |
| Restricted Stock Unit                      | (2)  | 11/20/2018                           |  | M                              | 8,416.5334  | (5) 11/20/2020(5)  | Common Stock 8  |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                      |       |
|---|---------------|-----------|----------------------|-------|
|   | Director      | 10% Owner | Officer              | Other |
| STERLING MICHELLE M<br>5775 MOREHOUSE DR.<br>SAN DIEGO, CA 92121-1714 |               |           | EVP, Human Resources |       |

## Signatures

By: Jane Borneman, Attorney-in-Fact For: Michelle M. Sterling  
 Date: 11/21/2018  
 \*\*Signature of Reporting Person

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Securities held by Michelle Marie Sterling, TTEE of the Michelle Marie Sterling Trust DTD 11/26/2008.
- (2) Each Restricted Stock Unit is the economic equivalent of one share of Qualcomm common stock and is converted into common stock upon vesting.
- (3) The Restricted Stock Units (and allocable dividend equivalents) vest in equal one-third amounts on November 20, 2016, 2017 and 2018.
- (4) The Restricted Stock Units (and allocable dividend equivalents) vest in equal one-third amounts on November 20, 2017, 2018 and 2019.
- (5) The Restricted Stock Units (and allocable dividend equivalents) vest in equal one-third amounts on November 20, 2018, 2019 and 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.