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BANC OF CALIFORNIA, INC. Form 8-K October 17, 2016

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the

Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): October 11, 2016

BANC OF CALIFORNIA, INC.

(Exact name of registrant as specified in its charter)

Maryland (State or other jurisdiction

001-35522 (Commission

04-3639825 (IRS Employer

of incorporation)

File Number)

Identification No.)

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18500 Von Karman Avenue, Suite 1100, Irvine, California

92612

(Address of principal executive offices)

(Zip Code)

Registrant s telephone number, including area code: (855) 361-2262

N/A

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2.):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 8.01 Other Events.

On October 14, 2016, Gary Dunn, Executive Vice President of Community Development at Banc of California, National Association (the Bank), a wholly-owned subsidiary of Banc of California, Inc., sent a letter in follow-up to an October 11, 2016 letter from the National Diversity Coalition pertaining to PL Capital, LLC. A copy of the letter from the Bank, which includes a copy of the letter from the National Diversity Coalition, is attached hereto as Exhibit 99.1 and incorporated by reference herein.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

99.1 Letter from Gary Dunn, Executive Vice President of Community Development at Banc of California, National Association to PL Capital, LLC dated October 14, 2016.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

BANC OF CALIFORNIA, INC.

October 17, 2016

/s/ John C. Grosvenor John C. Grosvenor Executive Vice President, General Counsel and

Corporate Secretary

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EXHIBIT INDEX

Exhibit

Number

Description

99.1

Letter from Gary Dunn, Executive Vice President of Community Development at Banc of California, National Association to PL Capital, LLC dated October 14, 2016.

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