ADA-ES INC Form 8-K November 30, 2011

# U.S. SECURITIES AND EXCHANGE COMMISSION

# WASHINGTON, D.C. 20549

# FORM 8-K

# CURRENT REPORT

## PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): November 29, 2011

# ADA-ES, INC.

(Name of registrant as specified in its charter)

Colorado (State or other jurisdiction of incorporation) 000-50216 (Commission File Number) **84-1457385** (I.R.S. Employer Identification Number)

**8100 SouthPark Way, Unit B, Littleton, Colorado 80120** (Address of principal executive offices) (Zip Code)

Registrant s telephone number, including area code: (303) 734-1727

# Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### Item 7.01 Regulation FD Disclosure

On November 30, 2011, ADA-ES, Inc. (we or ADA) will present at the 2011 Baird Clean Technology Conference at the Four Seasons Hotel in San Francisco, CA. The presentation materials are available via the Investor Information section of ADA s website a<u>t www.adaes.com</u>. A copy of the presentation materials is attached hereto as Exhibit 99.1.

#### Item 8.01 Other Events

On November 29, 2011, we issued a press release regarding our progress with refined coal activities and providing additional updates on our M45 facilities. A copy of the press release is attached hereto as Exhibit 99.2.

#### Item 9.01. Financial Statements and Exhibits

(d) The following items are furnished as exhibits to this report:

99.1 December 2011 Investor Presentation.

99.2 Press Release, ADA-ES Provides Update on Progress with Refined Coal Activities dated November 29, 2011.

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Date: November 30, 2011

## ADA-ES, Inc.

Registrant

<u>/s/Mark H. McKinnies</u> Mark H. McKinnies Senior Vice President and Chief Financial Officer

# INDEX TO EXHIBITS

- Exhibit No. Description
- 99.1 December 2011 Investor Presentation.
- 99.2 Press Release, entitled ADA-ES Provides Update on Progress with Refined Coal Activities, dated November 29, 2011.