EnerSys Form 4 April 01, 2014

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

2366 BERNVILLE ROAD

READING, PA 19605

Common

Stock

03/28/2014

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading Schmidtlein Michael J Issuer Symbol

EnerSys [ENS]

(Last) (First) (Middle) 3. Date of Earliest Transaction

> (Month/Day/Year) 03/28/2014

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

(Check all applicable)

**OMB APPROVAL** 

Estimated average

burden hours per

Expires:

response...

3235-0287

January 31,

2005

0.5

Director 10% Owner Other (specify X\_ Officer (give title below)

Sr. VP Finance & CFO

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

							Person					
	(City) (State) (Zip) <b>Tabl</b>			le I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securitie n(A) or Disp (Instr. 3, 4 a	osed o	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
	Common Stock	03/28/2014		A <u>(1)</u>	2.6703	A	\$0	77,974.2048	D			
	Common Stock	03/28/2014		A(2)	26.9121	A	\$0	78,001.1169	D			
	Common Stock	03/28/2014		A(3)	32.1056	A	\$0	78,033.2226	D			
	_											

21.8055 A

\$0

78,055.0281

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $A^{(4)}$ 

Edgar Filing: EnerSys - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumbe		Expiration D	ate	Amoun	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ies	(Instr. 5)	Bene
	Derivative			Securities Acquired				(Instr. 3	3 and 4)		Owne
	Security										Follo
	-				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
				C-1- V		Date		Of Title Number			
						Exercisable					
					(A) (D)			of			
				Coue v	(A) (D)			7	Shares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Schmidtlein Michael J 2366 BERNVILLE ROAD READING, PA 19605

Sr. VP Finance & CFO

# **Signatures**

Karen J. Yodis, by Power of Attorney

04/01/2014

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares were granted in the form of Restricted Stock Units ("RSUs"), in connection with the cash dividend paid on March 28, 2014 to stockholders of record as of March 14, 2014 (the "Dividend"), with respect to 1,461 unvested RSUs granted to the reporting person on May 17, 2010, and adjusted for previously declared and paid cash dividends. These RSUs will vest and are payable concurrent with the underlying RSUs.

- These shares were granted in the form of Market Share Units ("MSUs") in connection with the Dividend, with respect to 15,183 unvested (2) MSUs granted to the reporting person on May 16, 2011, and adjusted for previously declared and paid cash dividends. These MSUs will vest and are payable concurrent with the underlying MSUs.
- These shares were granted in the form of MSUs in connection with the Dividend, with respect to 18,113 unvested MSUs granted to the reporting person on May 14, 2012, and adjusted for previously declared and paid cash dividends. These MSUs will vest and are payable concurrent with the underlying MSUs.

Reporting Owners 2

#### Edgar Filing: EnerSys - Form 4

These shares were granted in the form of MSUs in connection with the Dividend, with respect to 12,302 unvested MSUs granted to the reporting person on May 31, 2013, and adjusted for previously declared and paid cash dividends. These MSUs will vest and are payable concurrent with the underlying MSUs.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.