Edgar Filing: INVIVO THERAPEUTICS HOLDINGS CORP. - Form 4

INVIVO THE Form 4 July 31, 2013	RAPEUTIC	S HOI	LDINC	GS CORP.									
FORM	Л										OMB AF	PROVAL	
	UNITE	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549											
Check this if no longer	box										Expires:	January 31,	
subject to Section 16. Form 4 or	SIAI	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHI SECURITIES										2005 verage 's per 0.5	
Form 5 obligations may contin <i>See</i> Instruct 1(b).	ue. Section 1		of the I		ity Ho	oldir	ng Comp	any A	Act of	Act of 1934, 1935 or Section)	response		
(Print or Type Re	sponses)												
1. Name and Address of Reporting Person <u>*</u> REYNOLDS FRANCIS				21 ibbaer i kante und i fener of frauing					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)	(First)	(Mide	dle)	3. Date of E		X Director	X 10% Owner						
C/O INVIVO HOLDINGS KENDALL S B14402	CORP., ONE	Ξ	S	(Month/Day 07/29/201						X Officer (give below) C	title Othe below) EEO, CFO	r (specify	
					led(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
CAMBRIDG	E, MA 02139	9								Person	ore than One Rej	porting	
(City)	(State)	(Zip	p)	Table 1	[- Non-	-Der	ivative Se	curitio	es Acqu	ired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	any			emed ion Date, if /Day/Year)	3. 4. Securities Acquired Transactior(A) or Disposed of (D Code (Instr. 3, 4 and 5) (Instr. 8)				l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	Amount	or (D)	Price \$	(Instr. 3 and 4)			
COMMON STOCK	07/29/2013				S <u>(1)</u>		12,000	D	φ 5.09 (2)	12,795,020	D		
COMMON STOCK	07/30/2013				S <u>(1)</u>		12,000	D	\$ 5.12 (3)	12,783,020	D		
COMMON STOCK										23,488	Ι	By 401(k) plan	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				(Instr. 3, 4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of		
			Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
REYNOLDS FRANCIS C/O INVIVO THERAPEUTICS HOLDINGS CORP. ONE KENDALL SQUARE, SUITE B14402 CAMBRIDGE, MA 02139	Х	Х	CEO, CFO				

Signatures

Reporting Person

/s/ Francis Reynolds 07/31/2013 **Signature of

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.

The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$4.95 to \$5.20, inclusive. The reporting person undertakes to provide InVivo Therapeutics Holdings Corp. ("InVivo"), any security holder of

- (2) InVivo, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes 2 through 4.
- The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$5.08 (3)to \$5.20, inclusive.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.