

MOSAIC CO
Form 5
July 15, 2013

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).
Form 3 Holdings
Reported
Form 4
Transactions
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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1. Name and Address of Reporting Person *
STRANGHOENER LAWRENCE W

(Last) (First) (Middle)

**C/O THE MOSAIC
COMPANY, 3033 CAMPUS
DRIVE, SUITE E490**

(Street)

PLYMOUTH, MN 55441

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
MOSAIC CO [MOS]

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
05/31/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chief Financial Officer

6. Individual or Joint/Group Reporting

(check applicable line)

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) Amount	or (D)	Price			
Common Stock	Â	Â	Â	Â	Â	Â	103,658	D	Â
Common Stock	Â	Â	Â	Â	Â	Â	50 ⁽⁷⁾	I	By Son

Reminder: Report on a separate line for each class of
securities beneficially owned directly or indirectly.

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contained in this form are not required to respond unless
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SEC 2270
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 15.04	Â	Â	Â	Â Â Â <u>(1)</u>		10/29/2014	Common Stock	12,084	
Stock Option (Right to Buy)	\$ 17.29	Â	Â	Â	Â Â Â <u>(1)</u>		08/01/2015	Common Stock	64,935	
Stock Option (Right to Buy)	\$ 15.45	Â	Â	Â	Â Â Â <u>(1)</u>		08/04/2016	Common Stock	61,120	
Stock Option (Right to Buy)	\$ 40.03	Â	Â	Â	Â Â Â <u>(1)</u>		08/02/2017	Common Stock	25,328	
Stock Option (Rght to Buy)	\$ 127.21	Â	Â	Â	Â Â Â <u>(1)</u>		07/31/2018	Common Stock	7,315	
Stock Option (Right to Buy)	\$ 52.72	Â	Â	Â	Â Â Â <u>(1)</u>		07/27/2019	Common Stock	14,423	
Stock Option (Right to Buy)	\$ 44.93	Â	Â	Â	Â Â Â <u>(2)</u>		07/27/2020	Common Stock	22,285	
Restricted Stock Units	\$ 0 <u>(3)</u>	Â	Â	Â	Â Â 07/27/2013	Â <u>(4)</u>		Common Stock	12,241	

Stock Option (Right to Buy)	\$ 70.62	Â	Â	Â	Â	Â	Â	Â ⁽⁵⁾	07/21/2021	Common Stock	13,997
Restricted Stock Units	\$ 0 ⁽³⁾	Â	Â	Â	Â	Â	07/21/2014	Â ⁽⁴⁾		Common Stock	6,136
Stock Option (Right to Buy)	\$ 57.62	Â	Â	Â	Â	Â	Â	Â ⁽⁶⁾	07/19/2022	Common Stock	21,853
Restricted Stock Units	\$ 0 ⁽³⁾	Â	Â	Â	Â	Â	07/19/2015	Â ⁽⁴⁾		Common Stock	8,678

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
STRANGHOENER LAWRENCE W C/O THE MOSAIC COMPANY 3033 CAMPUS DRIVE, SUITE E490 PLYMOUTH, MN 55441	Â	Â	Â Chief Financial Officer	Â

Signatures

/s/Richard L. Mack, Attorney-in-Fact for Lawrence W. Stranghoener

07/15/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) This Stock Option is 100% exercisable.
- (2) Grant Date 07/27/2010; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (3) One-for-One
- (4) Not Applicable
- (5) Grant Date 07/21/2011; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (6) Grant Date 07/19/2012; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (7) The reporting person no longer has a reportable beneficial interest in 200 shares of MOS common stock owned by his daughters and included in the reporting person's prior ownership reports.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.