Baladi Albert Form 3 October 06, 2011

## FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF Expires: January 31, 2005

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Baladi Albert

(Last)

(First)

(Middle)

(Zip)

Statement

(Month/Day/Year)

10/03/2011

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

BEAM INC [NYSE: BEAM]

(Check all applicable)

SVP & President, EMEA

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

BEAM INC., Â 510 LAKE COOK ROAD

(Street)

Director \_X\_\_ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

DEERFIELD, ILÂ 60015

(State)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

(City)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

SEC 1473 (7-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

## Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

Derivative Security (Instr. 4)

3. Title and Amount of Securities Underlying

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Expiration Exercisable Date

Title Amount or Number of Shares

Derivative Security

Security: Direct (D) or Indirect

(I)

1

(Instr. 5)

**Employee Stock Option** (Right to Buy) (1)

 $\hat{A}^{(2)}$ 

Beam Inc. 03/28/2021 Common 15,000 Stock

\$60.16

Â D

**Reporting Owners** 

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

Baladi Albert BEAM INC.

510 LAKE COOK ROAD DEERFIELD, ILÂ 60015 Â SVP & President, EMEA Â

**Signatures** 

Todd M. Bloomquist, Attorney-in-Fact for Albert Baladi

10/06/2011

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- As a result of the Issuer's spin-off of Fortune Brands Home & Security, Inc. on October 3, 2011, these securities are subject to an intrinsic (1) value adjustment as described in the Issuer's Long-Term Incentive Plans and the Employee Matters Agreement dated as of September 28,
- (2) The options vest in three equal annual installments beginning on March 28, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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