

Gourlay Ian
Form 3
October 06, 2011

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Gourlay Ian

(Last) (First) (Middle)

BEAM INC.,Â 510 LAKE
COOK ROAD

(Street)

DEERFIELD,Â ILÂ 60015

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

10/03/2011

3. Issuer Name **and** Ticker or Trading Symbol
BEAM INC [NYSE: BEAM]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

___ Director ___ 10% Owner

☒ Officer ___ Other

(give title below) (specify below)

SVP Operations & Supply Chain

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting

Person

___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title Amount or Number of Shares

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect (I)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

(Instr. 5)

Employee Stock Option (Right to Buy) ⁽¹⁾	Â ⁽²⁾	02/27/2013	Beam Inc. Common Stock	20,000	\$ 78.25	D	Â
Employee Stock Option (Right to Buy) ⁽¹⁾	Â ⁽³⁾	09/26/2013	Beam Inc. Common Stock	20,000	\$ 74.39	D	Â
Employee Stock Option (Right to Buy) ⁽¹⁾	Â ⁽⁴⁾	09/24/2014	Beam Inc. Common Stock	20,000	\$ 80.95	D	Â
Employee Stock Option (Right to Buy) ⁽¹⁾	Â ⁽⁵⁾	09/29/2015	Beam Inc. Common Stock	30,000	\$ 57.01	D	Â
Employee Stock Option (Right to Buy) ⁽¹⁾	Â ⁽⁶⁾	09/30/2016	Beam Inc. Common Stock	19,999	\$ 42.98	D	Â
Employee Stock Option (Right to Buy) ⁽¹⁾	Â ⁽⁷⁾	02/22/2017	Beam Inc. Common Stock	25,000	\$ 43.67	D	Â
Employee Stock Option (Right to Buy) ⁽¹⁾	Â ⁽⁸⁾	02/22/2021	Beam Inc. Common Stock	20,000	\$ 61.54	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				Other
	Director	10% Owner	Officer		
Gourlay Ian BEAM INC. 510 LAKE COOK ROAD DEERFIELD, IL 60015	Â	Â	Â SVP Operations & Supply Chain		Â

Signatures

Todd M. Bloomquist, Attorney-in-Fact for Ian Gourlay 10/06/2011

 **Signature of Reporting Person

____ Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

As a result of the Issuer's spin-off of Fortune Brands Home & Security, Inc. on October 3, 2011, these securities are subject to an intrinsic value adjustment as described in the Issuer's Long-Term Incentive Plans and the Employee Matters Agreement dated as of September 28, 2011.

(1) The options vested in three equal annual installments beginning on February 27, 2007.

(2) The options vested in three equal annual installments beginning on September 26, 2007.

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- (4) The options vested in three equal annual installments beginning on September 24, 2008.
- (5) The options vested in three equal annual installments beginning on September 29, 2009.
- (6) The options vested as to 10,000 shares on September 30, 2011 and will vest as to the remaining 9,999 shares on September 30, 2012.
- (7) The options vest in three equal annual installments beginning on February 22, 2011.
- (8) The options vest in three equal annual installments beginning on February 22, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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