

Splain Michael E  
Form 4  
November 16, 2009

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Splain Michael E

2. Issuer Name **and** Ticker or Trading  
Symbol  
SUN MICROSYSTEMS, INC.  
[JAVA]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
4150 NETWORK CIRCLE  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/16/2009

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title below) \_\_\_\_ Other (specify below)  
EVP, Microelectronics

SANTA CLARA, CA 95054

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Common Stock					10,000	I	Shares held by Michael E. Splain & Donna M. Splain JT/WROS
Common Stock	11/16/2009		F	620	D	\$ 8.67	86,123 <sup>(1)</sup> D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not**

SEC 1474  
(9-02)

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number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secur (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (Right to Buy)	\$ 36.56					(2)	03/19/2010	Common Stock	50	
Employee Stock Option (Right to Buy)	\$ 36.56					(2)	03/19/2010	Common Stock	3,750	
Employee Stock Option (Right to Buy)	\$ 14.8					(2)	07/25/2010	Common Stock	7,500	
Employee Stock Option (Right to Buy)	\$ 14.8					(2)	07/25/2010	Common Stock	11,250	
Employee Stock Option (Right to Buy)	\$ 16.8					(2)	05/21/2011	Common Stock	11,250	
Employee Stock Option	\$ 16.832					(2)	11/13/2011	Common Stock	11,250	

(Right to Buy)						
Employee Stock Option (Right to Buy)	\$ 15	<u>(2)</u>	05/18/2012	Common Stock	10,000	
Employee Stock Option (Right to Buy)	\$ 15.76	<u>(2)</u>	09/17/2012	Common Stock	12,500	
Employee Stock Option (Right to Buy)	\$ 18.4	<u>(2)</u>	11/10/2012	Common Stock	5,000	
Employee Stock Option (Right to Buy)	\$ 16.48	<u>(2)</u>	01/27/2013	Common Stock	15,000	
Employee Stock Option (Right to Buy)	\$ 15.4	<u>(2)</u>	07/28/2013	Common Stock	20,750	
Employee Stock Option (Right to Buy)	\$ 21.32	<u>(2)</u>	11/02/2014	Common Stock	17,500	
Employee Stock Option (Right to Buy)	\$ 26.56	<u>(2)</u>	01/31/2015	Common Stock	13,125	
Employee Stock Option (Right to Buy)	\$ 20	<u>(2)</u>	11/14/2015	Common Stock	15,525	

## Reporting Owners

Reporting Owner Name / Address	Relationships
Reporting Owners	

## Edgar Filing: Splain Michael E - Form 4

Director   10% Owner   Officer

Other

Splain Michael E  
4150 NETWORK CIRCLE  
SANTA CLARA, CA 95054

EVP, Microelectronics

## Signatures

/s/ Michael E.

Splain

11/16/2009

\_\_\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This includes 63,337 shares of unvested restricted stock units.

(2) This option vests and becomes exercisable in five equal annual installments beginning on the first anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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