

PALMISANO SAMUEL J

Form 4

November 06, 2009

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
PALMISANO SAMUEL J

2. Issuer Name **and** Ticker or Trading
Symbol
INTERNATIONAL BUSINESS
MACHINES CORP [IBM]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

IBM CORPORATION, ONE NEW
ORCHARD ROAD

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/05/2009

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman, Pres., and CEO

ARMONK, NY 10504

(City) (State) (Zip)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Common Stock	11/05/2009		S		2,968	D	\$ 122.24
					163,532.1615	D	
Common Stock	11/05/2009		S		3,769	D	\$ 122.25
					159,763.1615	D	
Common Stock	11/05/2009		S		1,600	D	\$ 122.26
					158,163.1615	D	
Common Stock	11/05/2009		S		2,000	D	\$ 122.27
					156,163.1615	D	
Common Stock	11/05/2009		S		2,239	D	\$ 122.28
					153,924.1615	D	

Edgar Filing: PALMISANO SAMUEL J - Form 4

Common Stock	11/05/2009	S	8,523	D	\$ 122.29	145,401.1615	D	
Common Stock	11/05/2009	S	4,786	D	\$ 122.3	140,615.1615	D	
Common Stock	11/05/2009	S	1,900	D	\$ 122.31	138,715.1615	D	
Common Stock	11/05/2009	S	508	D	\$ 122.32	138,207.1615	D	
Common Stock	11/05/2009	S	6,000	D	\$ 122.33	132,207.1615	D	
Common Stock	11/05/2009	S	2,700	D	\$ 122.34	129,507.1615	D	
Common Stock	11/05/2009	S	2,344	D	\$ 122.35	127,163.1615	D	
Common Stock	11/05/2009	S	1,700	D	\$ 122.36	125,463.1615	D	
Common Stock	11/05/2009	S	800	D	\$ 122.37	124,663.1615	D	
Common Stock	11/05/2009	S	700	D	\$ 122.38	123,963.1615	D	
Common Stock	11/05/2009	S	3,600	D	\$ 122.39	120,363.1615	D	
Common Stock	11/05/2009	S	100	D	\$ 122.395	120,263.1615	D	
Common Stock	11/05/2009	S	2,400	D	\$ 122.41	117,863.1615	D	
Common Stock	11/05/2009	S	200	D	\$ 122.42	117,663.1615	D	
Common Stock	11/05/2009	S	900	D	\$ 122.46	116,763.1615	D	
Common Stock						309	I ⁽¹⁾	daughter
Common Stock						529	I ⁽¹⁾	son 1
Common Stock						309	I ⁽¹⁾	son 2
Common Stock						194,404	I ⁽¹⁾	spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not

SEC 1474
(9-02)

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
				Code	V	(A)	(D)		Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
PALMISANO SAMUEL J IBM CORPORATION ONE NEW ORCHARD ROAD ARMONK, NY 10504	X Chairman, Pres., and CEO

Signatures

D. Cummins on behalf of S. J.
Palmisano 11/06/2009

____Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person disclaims beneficial ownership of the securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

Remarks:

Additional transactions will be shown on a subsequent Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.