Fowler John F Form 4 July 29, 2009

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Fowler John F Issuer Symbol SUN MICROSYSTEMS, INC. (Check all applicable) [JAVA] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title \_ Other (specify (Month/Day/Year) below) below) 4150 NETWORK CIRCLE 07/27/2009 EVP, Systems Group (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SANTA CLARA, CA 95054 Person

| (City)                 | (State) (Z   | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |                            |                  |                       |   |                           |   |  |  |  |
|------------------------|--|--|---|----------------------------|------------------|-----------------------|---|---------------------------|---|--|--|--|
| 1.Title of<br>Security | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if |  | 3. 4. Securities Acquired Transaction(A) or Disposed of |                            |                  |                       | 5. Amount of Securities                                     | 6. Ownership Form: Direct | 7. Nature of Indirect                     |  |  |  |
| (Instr. 3)             |  | any<br>(Month/Day/Year)  | Code (Instr. 8)   | (D)<br>(Instr. 3, 4 and 5) |                  | Beneficially<br>Owned | (D) or<br>Indirect (I)                                      | Beneficial<br>Ownership   |   |  |  |  |
|                        |  |  | Code V  | Amount                     | (A)<br>or<br>(D) | Price                 | Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | (Instr. 4)                | (Instr. 4)                                |  |  |  |
| Common<br>Stock        | 07/27/2009   |  | F   | 3,352                      | D                | \$<br>9.24            | 116,789 (2)   | D                         |   |  |  |  |
| Common<br>Stock        |  |  |   |                            |                  |                       | 2,458   | I                         | Shares<br>held by<br>wife, Anne<br>Fowler |  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                  |
|---|---|---|---|---------------------------------------|--|---------------------|--------------------|---|----------------------------------|
|   |   |   |   | Code V                                | (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title   | Amount or<br>Number of<br>Shares |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 74.32  |   |   |                                       |  | <u>(1)</u>          | 04/18/2009         | Common<br>Stock   | 2,000                            |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 31.64  |   |   |                                       |  | <u>(1)</u>          | 09/27/2009         | Common<br>Stock   | 2,500                            |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 50.36  |   |   |                                       |  | <u>(1)</u>          | 11/07/2009         | Common<br>Stock   | 6,250                            |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 50.36  |   |   |                                       |  | <u>(1)</u>          | 11/07/2009         | Common<br>Stock   | 6,250                            |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 36.56  |   |   |                                       |  | <u>(1)</u>          | 03/19/2010         | Common<br>Stock   | 50                               |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 36.56  |   |   |                                       |  | <u>(1)</u>          | 03/19/2010         | Common<br>Stock   | 1,250                            |
| Employee<br>Stock                                   | \$ 14.8   |   |   |                                       |  | <u>(1)</u>          | 07/25/2010         | Common<br>Stock   | 2,000                            |

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| Option<br>(Right to<br>Buy)                      |           |            |            |                 |         |
|--|-----------|------------|------------|-----------------|---------|
| Employee<br>Stock<br>Option<br>(Right to<br>Buy) | \$ 14.8   | <u>(1)</u> | 07/25/2010 | Common<br>Stock | 6,720   |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy) | \$ 16.8   | <u>(1)</u> | 05/21/2011 | Common<br>Stock | 7,500   |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy) | \$ 16.832 | <u>(1)</u> | 11/13/2011 | Common<br>Stock | 6,250   |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy) | \$ 15.16  | <u>(1)</u> | 07/29/2012 | Common<br>Stock | 75,000  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy) | \$ 15.4   | <u>(1)</u> | 07/28/2013 | Common<br>Stock | 75,000  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy) | \$ 17.04  | <u>(1)</u> | 07/27/2014 | Common<br>Stock | 125,000 |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy) | \$ 20.4   | <u>(1)</u> | 07/31/2015 | Common<br>Stock | 106,250 |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy) | \$ 74.32  | <u>(1)</u> | 04/18/2009 | Common<br>Stock | 375     |
| Employee<br>Stock<br>Option                      | \$ 36.56  | <u>(1)</u> | 03/19/2010 | Common<br>Stock | 50      |

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(Right to Buy)

Employee

Stock

Option \$ 14.8 \(\frac{(1)}{2}\) \(\frac{07/25/2010}{2010}\) \(\frac{Common}{Stock}\) \(750\)

(Right to Buy)

Employee

Stock
Option \$ 15.76 

(1) 09/17/2012 Common Stock 750

(Right to Buy)

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Fowler John F

4150 NETWORK CIRCLE EVP, Systems Group

SANTA CLARA, CA 95054

# **Signatures**

/s/ Craig D. Norris, Attorney-In-Fact

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option vests and becomes exercisable in five equal annual installments beginning on the first anniversary of the date of grant.
- (2) This includes 2,063 shares of unvested restricted stock and 108,703 shares of unvested restricted stock units.

#### **Remarks:**

**Exhibit List** 

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 4