HOLLIS C DARYL Form 4

November 08, 2002

SEC Form 4

FORM 4

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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1. Name and Address of Reporting 2. Issuer Name 4. Statement for 6. Relationship of Reporting Person(s) Person' and Ticker or Trading Month/Day/Year to Issuer Hollis, C. Daryl Symbol (Check all applicable) 11/06/2002 X Director _ 10% Owner **Catalina Lighting** (Last) (First) **CALA** Officer (give title below) (Middle) Other (specify below) 18191 N.W. 68th Avenue 5. If Amendment, 3. I.R.S. Identification Date of Original Description Number of Reporting (Street) (Month/Day/Year) Person, if an entity Miami, FL 33015 (voluntary) 7. Individual or Joint/Group Filing (Check Applicable Line) (City) (State) (Zip) X Form filed by One Reporting Person Form filed by More than One Reporting Person

		Т	able I - N	on-Derivat	ive Sec	uritie	es Acquir	ed, Di	isposed	l of, or Ben	eficia	Ily Owned		
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)				4. Securities Acquired (A) or Disposed Of (D (Instr. 3, 4, and 5)			Securities Beneficially Owned Following		6. Owner- ship Form: Direct (D)	7. Nature of Indirect Beneficial Ownership	
					Code	٧	Amount	A/D	Price	Reported Transaction(s) (Instr. 3 and 4)		or Indirect (I) (Instr. 4)	(Instr. 4)	
					Table I						•	d of, or Bene ertible secu	ficially Owned rities)	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Tran Date (Mor Day, Year	nth/	3A. Deemed Execution Date, if any (Month/ Day/ Year)	Code	5. Number ransaction of		ive urities ed ed	and Expi Date(E	sable(DE)	7. Title and Amour of Underlying Securities (Instr. 3 and 4)		<u> </u>	9. Numbe Derivat Securiti Benefic Owned Followi Report Transa (Instr.4

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			Code	V	А	D	DE	ED	Title	Amount or Number of Shares		
Stock Option (Right to Buy)	\$8.00 per share	11/06/2002	А				(1)	11/06/2002	Common Stock	2,500	\$8.00	2,500

Explanation of Responses:

(1) 25% of the options will vest on November 6, 2003, and the remainder will vest on an annual basis for three years thereafter.

By:

/s/ Eric Bescoby ATTORNEY IN FACT

11/08/2002

** Signature of Reporting Person

Date

SEC 1474 (8-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.