CATALINA LIGHTING INC

Form 4

November 08, 2002

SEC Form 4

OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE FORM 4 COMMISSION Washington, D.C. 20549 [] Check this box if no longer subject to Section 16. Form OMB Number: 3235-0287 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP 4 or Form Expires: January 31, 2005 5 obligations may continue. Estimated average burden See Instruction 1(b). hours per response. 0.5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting 2. Issuer Name 4. Statement for 6. Relationship of Reporting Person(s) Person' and Ticker or Trading Month/Day/Year to Issuer Couch, Deryl C Symbol (Check all applicable) 11/6/02 X Director _ 10% Owner **Catalina Lighting** (Last) (First) **CALA** Officer (give title below) (Middle) Other (specify below) 18191 N.W. 68th Avenue 5. If Amendment, 3. I.R.S. Identification Date of Original Description Number of Reporting (Street) (Month/Day/Year) Person, if an entity Miami, FL 33015 (voluntary) 7. Individual or Joint/Group Filing (Check Applicable Line) (City) (State) (Zip) X Form filed by One Reporting Person Form filed by More than One

		Т	able I - N	on-Derivat	ive Sec	uritie	es Acquir	ed, Dis	sposed	of, or Be	eneficiall	y Owned		
Security	2.Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction (Code (Instr. 8)		4. Securit (A) or Dis (Instr. 3	posed	Of (D)	Owne Followin	rities ficially ed ig	6. Owner-ship Form: Direct (D)	7. Nature of Indirect Beneficial Ownership	
					Code	٧	Amount	A/D	Price	Reported Transaction(s) (Instr. 3 and 4)		or Indirect (I) (Instr. 4)	(Instr. 4)	
				-	Γable II						•	of, or Benefi tible securi	icially Owned ties)	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Tran Date (Mor Day/ Year	nth/	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Transactio		5. Number of conderivative Securities Acquired (A)		and Ex Date	isable(DE)pf Unc piration Securi		and Amount rlying es . 3 and 4)	8. Price of Derivative Security (Instr.5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr.4)
					Code	V	Α	D	DE	ED	Title			

Reporting Person

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								Amount or Number of Shares			
Stock Option (Right to Buy)	\$8.00 per share	11/6/02	А	2,500	(1)	11/6/12	Common Stock	2,500	\$8.00	2,500	

Explanation of Responses:

(1) 25% of the options will vest on November 6, 2003, and the remainder will vest on an annual basis for three years thereafter.

Bv:

/s/ Eric Bescoby ATTORNEY IN FACT

11/08/02

** Signature of Reporting Person

Date

SEC 1474 (8-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.