#### LAKELAND BANCORP INC

Form 4/A

November 10, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

1(b).

obligations

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Middle)

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* MCCLURE STEWART E JR

(First)

2. Issuer Name and Ticker or Trading

Symbol

LAKELAND BANCORP INC [LBAI]

3. Date of Earliest Transaction

(Month/Day/Year) 10/31/2016

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

10% Owner

Other (specify

C/O LAKELAND BANCORP, INC., 250 OAK RIDGE ROAD

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year) 11/02/2016

below) below) Reg Pres of Lakeland Bank

6. Individual or Joint/Group Filing(Check Applicable Line)

Director

\_X\_\_ Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

OAK RIDGE, NJ 07438

(City)	(State)	(Zip) Tabl	e I - Non-E	erivative S	Securit	ies Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Form: Direct Beneficially (D) or Owned Indirect (I) Following (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	10/31/2016		M	4,847	A	\$ 9.2	144,802	D	
Common Stock	10/31/2016		M				157,990	D	
Common Stock	10/31/2016		M	12,560	A	\$ 7.83	170,550	D	
Common Stock	10/31/2016		M	10,048	A	\$ 6.25	180,598	D	
Common Stock	10/31/2016		s v	35,796	D	\$ 14.2	144,802 (1)	D	

### Edgar Filing: LAKELAND BANCORP INC - Form 4/A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 9.2	10/31/2016		M		4,847	05/31/2013	05/23/2017	Common Stock	4,847
Stock Option (right to buy)	\$ 5.99	10/31/2016		M		13,188	05/31/2013	02/01/2020	Common Stock	13,188
Stock Option (right to buy)	\$ 7.83	10/31/2016		M		12,560	05/31/2013	02/02/2021	Common Stock	12,560
Stock Option (right to buy)	\$ 6.25	10/31/2016		M		10,048	05/31/2013	10/25/2021	Common Stock	10,048

# **Reporting Owners**

Reporting Owner Name / Address	Keiationships						
	Director	10% Owner	Officer	Other			

MCCLURE STEWART E JR C/O LAKELAND BANCORP, INC. 250 OAK RIDGE ROAD OAK RIDGE, NJ 07438

Reg Pres of Lakeland Bank

Reporting Owners 2

## **Signatures**

Patricia Backman, POA

\*\*Signature of Reporting Date

Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 28,524 RSU's that have not yet vested. 1,412 shares previously reported as indirect ownership are no longer reportable, as the beneficial ownership "held by spouse as custodian for children" no longer applies since children are now of legal age and not living with parents.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3