Spring Maria F Form 4 May 03, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

3235-0287 Number:

> January 31, 2005

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Expires:

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Spring Maria F

(First)

(State)

(Middle)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

National Bank Holdings Corp

[NBHC]

3. Date of Earliest Transaction

05/01/2018

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

\_X\_\_ Director 10% Owner \_ Other (specify Officer (give title (Month/Day/Year)

7800 EAST ORCHARD ROAD, SUITE 300

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

### **GREENWOOD** VILLAGE, CO 80111

(City)

|   | (City)                    | (State) (                               | Zip) Table  | e I - Non-D                            | erivative  | Secur            | ities Acq   | uired, Disposed of   | f, or Beneficial   | ly Owned  |
|---|---------------------------|---|---|--|--|------------------|-------------|--|--|---|
| S | Title of ecurity nstr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securities Acquired n(A) or Disposed of (D) (Instr. 3, 4 and 5) |                  |             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|   |                           |   |   | Code V                                 | Amount   | (A)<br>or<br>(D) | Price       | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   |  |   |
|   | ommon<br>tock             | 05/01/2018                              |   | F                                      | 589 (1)  | D                | \$<br>35.37 | 27,104   | D  |   |
|   | ommon<br>tock             | 05/02/2018                              |   | A                                      | 2,545  | A                | \$0         | 29,649 (2)   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### Edgar Filing: Spring Maria F - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title o | of 2.         | 3. Transaction Date | 3A. Deemed         | 4.              | 5.         | 6. Date Exerc | cisable and | 7. Titl | le and       | 8. Price of | 9. Nu  |
|------------|---------------|---------------------|--------------------|-----------------|------------|---------------|-------------|---------|--------------|-------------|--------|
| Derivativ  | ve Conversion | (Month/Day/Year)    | Execution Date, if | TransactionNumb |            | Expiration D  | ate         | Amou    | ınt of       | Derivative  | Deriv  |
| Security   | or Exercise   |                     | any                | Code            | of         | (Month/Day/   | Year)       | Under   | rlying       | Security    | Secui  |
| (Instr. 3) | Price of      |                     | (Month/Day/Year)   | (Instr. 8)      | Derivative | e             |             | Secur   | rities       | (Instr. 5)  | Bene   |
|            | Derivative    |                     |                    |                 | Securities |               |             | (Instr. | . 3 and 4)   |             | Own    |
|            | Security      |                     |                    |                 | Acquired   |               |             |         |              |             | Follo  |
|            | •             |                     |                    |                 | (A) or     |               |             |         |              |             | Repo   |
|            |               |                     |                    |                 | Disposed   |               |             |         |              |             | Trans  |
|            |               |                     |                    |                 | of (D)     |               |             |         |              |             | (Instr |
|            |               |                     |                    |                 | (Instr. 3, |               |             |         |              |             |        |
|            |               |                     |                    |                 | 4, and 5)  |               |             |         |              |             |        |
|            |               |                     |                    |                 |            |               |             |         | A            |             |        |
|            |               |                     |                    |                 |            |               |             |         | Amount       |             |        |
|            |               |                     |                    |                 |            | Date          | Expiration  | T:41-   | or<br>Namel  |             |        |
|            |               |                     |                    |                 |            |               | Date        |         | Number       |             |        |
|            |               |                     |                    | C-1- V          | (A) (D)    |               |             |         | of<br>Shares |             |        |
|            |               |                     |                    | Code V          | (A) (I))   |               |             |         | Snares       |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address 10% Owner Officer Other Director

Spring Maria F 7800 EAST ORCHARD ROAD **SUITE 300** GREENWOOD VILLAGE, CO 80111

X

## **Signatures**

/s/ Zsolt K. Bessko as 05/03/2018 Attorney-in-Fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld to settle income tax liability on restricted stock that vested on May 1, 2018.
  - Total includes 2,545 unvested shares of restricted stock awarded under the NBHC 2014 Omnibus Incentive Plan that are scheduled to vest
- in two equal installments on (i) the 180th day following the date of grant and (ii) the day before NBHC's 2019 Annual Meeting of Shareholders.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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