

Bartram Richard  
Form 3  
December 14, 2017

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â Bartram Richard

(Last) (First) (Middle)

C/O ESPERION  
THERAPEUTICS, INC.,Â 3891  
RANCHERO DRIVE, SUITE  
150

(Street)

ANN ARBOR,Â MIÂ 48108

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

12/14/2017

3. Issuer Name and Ticker or Trading Symbol  
Esperion Therapeutics, Inc. [ESPR]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner

☒ Officer \_\_\_\_ Other

(give title below) (specify below)

Chief Financial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

1,200

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

## Edgar Filing: Bartram Richard - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	Â <u>(1)</u>	04/24/2018	Common Stock	17,892	\$ 3.7	D	Â
Stock Option (right to buy)	Â <u>(1)</u>	07/23/2023	Common Stock	15,000	\$ 17.11	D	Â
Stock Option (right to buy)	Â <u>(2)</u>	12/20/2023	Common Stock	17,200	\$ 12.92	D	Â
Stock Option (right to buy)	Â <u>(3)</u>	01/02/2025	Common Stock	30,000	\$ 41.23	D	Â
Stock Option (right to buy)	Â <u>(4)</u>	03/18/2025	Common Stock	12,000	\$ 105.72	D	Â
Stock Option (right to buy)	Â <u>(5)</u>	01/04/2026	Common Stock	25,000	\$ 21.65	D	Â
Stock Option (right to buy)	Â <u>(6)</u>	01/03/2027	Common Stock	30,000	\$ 12.88	D	Â
Stock Option (right to buy)	Â <u>(7)</u>	02/21/2027	Common Stock	6,500	\$ 24.42	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bartram Richard C/O ESPERION THERAPEUTICS, INC. 3891 RANCHERO DRIVE, SUITE 150 ANN ARBOR, MI 48108	Â	Â	Â Chief Financial Officer	Â

## Signatures

Richard B.  
Bartram

12/14/2017

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The options are fully vested as of the date hereof.

(2) The options vest over a four (4) year period. 25% of the shares vested on December 20, 2014, and an additional 1/16th vests on each quarterly anniversary of such date thereafter.

(3) The options vest over a four (4) year period in equal quarterly installments, the first of which was on April 2, 2015.

### Edgar Filing: Bartram Richard - Form 3

- (4) The options vest over a four (4) year period in equal quarterly installments, the first of which was on June 18, 2015.
- (5) The options vest over a four (4) year period in equal quarterly installments, the first of which was on April 4, 2016.
- (6) The options vest over a four (4) year period in equal quarterly installments, the first of which was on April 3, 2017.
- (7) The options vest over a four (4) year period in equal quarterly installments, the first of which was on May 21, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.