## Edgar Filing: INVIVO THERAPEUTICS HOLDINGS CORP. - Form 5

INVIVO THERAPEUTICS HOLDINGS CORP.

Form 5

January 22, 2016

<b>FORM</b>	15							OMB AF	PPROVAL	
UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB Number:	3235-0362	
Check this no longer	shington, D.C. 20549					Expires:	January 31, 2005			
to Section Form 4 or 5 obligatio may contin See Instruct 1(b).	16. Form ANN ons nue. ction	uant to Section 1	RSHIP OF S 6(a) of the S tility Holdin	SECURI Securities g Compa	Excl any A	S hange act of 1	Act of 1934, 1935 or Section	Estimated a burden hou response	verage	
Transactio Reported	ns									
1. Name and A PERRIN MA					5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)					
(Last)	(First) (M	ay/Year)	Year)			_X_ Director 10% Owner Softicer (give title Other (specify below)				
HOLDINGS	O THERAPEUTI S CORP., ONE SQUARE, SUITI		013				CHIEF EX	ECUTIVE OFI	FICER	
	endment, Date Original onth/Day/Year)			6. Individual or Joint/Group Reporting  (check applicable line)						
CAMBRIDO	GE, MA 02139	9				-		One Reporting Po		
(City)	(State) (	Zip) Tobl	a I. Nan Dari	tratira Cas			Person ired, Disposed of	f an Danafiaial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Tabl			ties Ao sposeo	cquired d of	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	•	7. Nature of	
Common Stock	12/31/2015	Â	A <u>(1)</u>	1,053	A	\$ 6.12	58,195	D	Â	
	ort on a separate line a sicially owned directly		contained in	n this for	m are	not re	lection of infor equired to resp lid OMB contro	ond unless	SEC 2270 (9-02)	

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)
	Derivative		•		Securities			(Instr.	3 and 4)	
	Security				Acquired					
	·				(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									A	
									Amount	
						Date	Expiration	T:41-	or Name land	
						Exercisable Date	Date	Title Number of		
					(A) (D)					
					(A) (D)				Shares	

D

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
r	Director	10% Owner	Officer	Other		
PERRIN MARK D C/O INVIVO THERAPEUTICS HOLDINGS CORP. ONE KENDALL SQUARE, SUITE B14402 CAMBRIDGE, MA 02139	ÂX	Â	CHIEF EXECUTIVE OFFICER	Â		

#### **Signatures**

/s/ Elizabeth W. Fraser, Attorney-in-Fact 01/22/2016

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were acquired under the Issuer's Employee Stock Purchase Plan. This transaction is exempt under both Rule 16b-3(d) and Rule 16b-3(c).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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