# Edgar Filing: INVIVO THERAPEUTICS HOLDINGS CORP. - Form 5

INVIVO THERAPEUTICS HOLDINGS CORP.

Form 5

January 22, 2016

January $22, 2$	.010										
<b>FORM</b>	15							-	PPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB Number:	,. 3235-0362		
Check this box if washington, D.C. 20549 no longer subject						Expires:	January 31				
to Section Form 4 or 5 obligatio may contin	ENT OF CHANGES IN BENEFI RSHIP OF SECURITIES				FICIAL	Estimated average burden hours per response					
See Instruction 1(b). Form 3 Horal Reported Form 4 Transaction Reported	Filed purs	suant to Section 1 a) of the Public U 30(h) of the In	tility Holdin	g Compa	ny A	ct of 1	1935 or Section	n			
JOSEPH TAMARA L Symbol INVIV			r Name <b>and</b> Ticker or Trading OTHERAPEUTICS OINGS CORP. [NVIV]				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last)	(Last) (First) (Middle) 3. Stateme (Month/D: 12/31/20						Director 10% Owner Other (specify below)				
HOLDINGS	O THERAPEUTI S CORP., ONE SQUARE, SUITI	CS	013				SVP,	General Counso	el		
	endment, Date Original nth/Day/Year)			(	6. Individual or Joint/Group Reporting  (check applicable line)						
CAMBRIDO	GE, MA 0213	9				-	_X_ Form Filed by   Form Filed by	One Reporting Po			
(61.)	(0)	(T. )				I	Person				
(City)	(State) (	(Zip) Tab	le I - Non-Deri	ivative Sec	uritie	s Acqui	ired, Disposed of	f, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	e (D)		d of	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common	12/31/2015	Â	A <u>(1)</u>	Amount 1,599	or (D)	Price \$ 6.12	4) 17,027	D	Â		
	ort on a separate line sicially owned directly		contained i	n this for	m are	the col	llection of infor equired to resp lid OMB contro	ond unless	SEC 2270 (9-02)		

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Tit	le and	8. Price o
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	ınt of	Derivativ
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Unde	rlying	Security
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	<b>.</b>		Secur	ities	(Instr. 5)
		Derivative				Securities			(Instr	. 3 and 4)	
		Security				Acquired					
		·				(A) or					
						Disposed					
						of (D)					
						(Instr. 3,					
						4, and 5)					
										Amount	
							Date	Expiration	m: 1	or	
							Exercisable	*	Title Number		
						/A. /=>				of	
						(A) (D)				Shares	

D

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1 8	Director	10% Owner	Officer	Other		
JOSEPH TAMARA L C/O INVIVO THERAPEUTICS HOLDINGS CORP. ONE KENDALL SQUARE, SUITE B14402 CAMBRIDGE Â MA Â 02139	Â	Â	SVP, General Counsel	Â		

#### **Signatures**

/s/ Elizabeth W. Fraser, Attorney-in-Fact 01/22/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were acquired under the Issuer's Employee Stock Purchase Plan. This transaction is exempt under both Rule 16b-3(d) and Rule 16b-3(c).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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