#### Edgar Filing: INVIVO THERAPEUTICS HOLDINGS CORP. - Form 5

INVIVO THERAPEUTICS HOLDINGS CORP.

Form 5

January 22, 2016

FORM 5	5								PPROVAL
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Check this box if Washington, D.C. 20549							number.	3235-0362 January 31	
no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 3 Holding Reported Form 4 Transactions Reported	ANNU Filed purs	JAL STATEME OWNER uant to Section 10 ) of the Public Ut 30(h) of the In	RSHIP OF S 6(a) of the Stility Holding	SECURI securities g Compa	TIES Exchany Ac	ange et of 1	Act of 1934,	Expires: Estimated a burden hou response	2005 verage
1. Name and Addre Ulich Thomas R	Symbol INVIVO	2. Issuer Name and Ticker or Trading Symbol INVIVO THERAPEUTICS HOLDINGS CORP. [NVIV]				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) (First) (Middle)  3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  12/31/2015  C/O INVIVO THERAPEUTICS HOLDINGS CORP., ONE KENDALL SQUARE, SUITE B14402						title Other below)	Owner er (specify er		
	endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Reporting  (check applicable line)				
CAMBRIDGE,	MA 02139	)				_	X_ Form Filed by M Form Filed by Merson	One Reporting Pe More than One Re	
(City)	(State) (Z	Zip) <b>Tabl</b>	e I - Non-Deri	vative Sec	urities	Acqui	ired, Disposed of	, or Beneficial	ly Owned
	Transaction Date fonth/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit (A) or Di (D) (Instr. 3,	sposed (4 and 5)  (A) or	of	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock 12	/31/2015	Â	A <u>(1)</u>	265	A 3	\$ 6.12	21,693	D	Â
securities beneficially owned directly or indirectly.				ersons who respond to the collection of information ontained in this form are not required to respond unless e form displays a currently valid OMB control number.					

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

D S

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title a Amount Underlyi Securitie (Instr. 3	of ing es	8. Price of Derivative Security (Instr. 5)	
					(A) (D)	Date Exercisable	Expiration Date	or Title N	umber		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Ulich Thomas R C/O INVIVO THERAPEUTICS HOLDINGS CORP. ONE KENDALL SQUARE, SUITE B14402 CAMBRIDGE Â MA Â 02139	Â	Â	Chief Scientific Officer	Â		

### **Signatures**

/s/ Elizabeth W. Fraser, 01/22/2016 Attorney-in-Fact

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares were acquired under the Issuer's Employee Stock Purchase Plan. This transaction is exempt under both Rule 16b-3(d) and

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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