#### EAST WEST BANCORP INC

Form 4

March 11, 2014

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

Check this box if no longer subject to Section 16.

obligations

may continue.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* **GOUW JULIA S** 

2. Issuer Name and Ticker or Trading

Symbol

EAST WEST BANCORP INC [EWBC]

(First) EAST WEST BANK, 135 N. LOS

(Street)

ROBLES AVE. 7TH FLOOR

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 03/07/2014

4. If Amendment, Date Original

Filed(Month/Day/Year)

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_X\_\_ Director 10% Owner Other (specify X\_ Officer (give title

below) below)

President

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

PASADENA, CA 9110
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(City)	(State)	(Zip) Tak	ole I - Non-	Derivative	Secu	rities Acquii	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	omr Dispos (Instr. 3,	ed of (4 and 5 (A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/07/2014		M	Amount 3,246	(D)	Price \$ 0	255,302	D	
Common Stock	03/07/2014		F	1,127	D	\$ 36.85	254,175	D	
Common Stock	03/07/2014		M	11,900	A	\$ 0	266,075	D	
Common Stock	03/07/2014		F	4,204	D	\$ 36.85	261,871	D	
Common Stock	03/07/2014		S	20,000	D	\$ 36.8376	241,871	D	

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(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of actionDerivative Securities Acquired 8) (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Underlying (Instr. 3 an
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Ratable-Vesting Restricted Stock Units	(2)	03/07/2014		M		3,246	(2)	(2)	Common Stock
Cliff-Vesting Restricted Stock Units	(3)	03/07/2014		M		11,900	(3)	<u>(3)</u>	Common Stock
Performance-Based Restricted Stock Units	<u>(4)</u>	03/07/2014		A	27,137		<u>(4)</u>	<u>(4)</u>	Common Stock

# **Reporting Owners**

Julia S. Gouw

\*\*Signature of

Reporting Person

Reporting Owner Name / Address	Relationships					
1 8	Director	10% Owner	Officer	Other		
GOUW JULIA S EAST WEST BANK 135 N. LOS ROBLES AVE. 7TH FLOOR PASADENA, CA 91101	X		President			
Signatures						

03/11/2014

Date

2 Reporting Owners

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) A total of 20,000 shares were sold at a weighted average price of \$36.8376
- (2) Restricted Stock Units vest in three annual installments beginning March 7, 2012. On the settlement date, for each vested RSU, the reporting person received one share of Common Stock. Vesting was also subject to meeting pre-established earning goals.
- Performance Based Restricted Stock Units vest in 3 years. Number of vested units was based on meeting performance criteria. 11,900
- (3) units were for the performance maximum if pre-established performance goals are met. On the settlement date, for each vested RSU, the reporting person received one share of Common Stock.
  - Performance Based Restricted Stock Units vest in 3 years. Number of units that will vest depends on meeting performance criteria.
- (4) 27,137 units are for the performance maximum if pre-established performance goals are met. On the settlement date, for each vested RSU, the reporting person will receive one share of Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.