Groupon, Inc. Form 4 January 29, 2013

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16.

Form 4 or Form 5 obligations may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Groupon, Inc. [GRPN]

(Month/Day/Year)

Filed(Month/Day/Year)

3.

01/28/2013

3. Date of Earliest Transaction

4. If Amendment, Date Original

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \* RAMAN KAL

> (First) (Middle)

C/O GROUPON, INC., 600 WEST

CHICAGO AVENUE, SUITE 620

(Street)

(State) (Zip)

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

CHICAGO, IL 60654

Execution Date, if (Month/Day/Year)

Symbol

TransactionAcquired (A) or Code (Instr. 8)

Disposed of (D) (Instr. 3, 4 and 5)

4. Securities

Following Reported (A)

Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Issuer

below)

Person

5. Amount of

Securities

Owned

Beneficially

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Director

Applicable Line)

X\_ Officer (give title

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

Chief Operating Officer

6. Ownership

Form: Direct

(D) or Indirect Beneficial

6. Individual or Joint/Group Filing(Check

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(T)

(Instr. 4)

below)

10% Owner

Other (specify

7. Nature of

Ownership

(Instr. 4)

SEC 1474

(9-02)

Indirect

Estimated average

burden hours per

1

#### Edgar Filing: Groupon, Inc. - Form 4

(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	)			
			Code V	(A) (D	) Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Restricted Stock Units	(1)				(2)	<u>(2)</u>	Class A Common Stock	600,00
Restricted Stock Units	(1)				(3)	(3)	Class A Common Stock	206,25
Restricted Stock Units	<u>(1)</u>	01/28/2013	A	1,200,000	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	1,200,00

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

RAMAN KAL C/O GROUPON, INC. 600 WEST CHICAGO AVENUE, SUITE 620 CHICAGO, IL 60654

**Chief Operating Officer** 

## **Signatures**

/s/ Daniel L. Horwood, by power of attorney

01/29/2013

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Class A Common Stock.
- Twenty-five percent (25%) of the restricted stock units reported on this line will vest on May 7, 2013 and the remainder of the restricted (2) stock units will vest quarterly in 12 equal installments, beginning on August 7, 2013, subject to Mr. Raman's continued employment with the Company through each vesting date.
- (3) The restricted stock units reported on this line will vest quarterly in 4 equal installments, beginning on January 1, 2013, subject to Mr. Raman's continued employment with the Company through each vesting date.
- Twenty-five percent (25%) of the restricted stock units reported on this line will vest on December 15, 2013 and the remainder of the (4) restricted stock units will vest quarterly in 12 equal installments, beginning on March 15, 2014, subject to Mr. Raman's continued employment with the Company through each vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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