### CHANNELADVISOR CORP

Form 4

September 01, 2015

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Cook Mark

(City)

2. Issuer Name and Ticker or Trading

Symbol

CHANNELADVISOR CORP [ECOM]

(Last) (First) (Middle)

(State)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

08/31/2015

5. Relationship of Reporting Person(s) to

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

Chief Financial Officer

2701 AERIAL CENTER **PARKWAY** 

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

MORRISVILLE, NC 27560

		Tubi	Tuble 1 Troit Bettyudye Securities required, Disposed of, of Beneficially 6 whea						
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securit	ies Ac	quired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	ransaction(A) or Disposed of			Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(D)			Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership	
		• •				Following	(Instr. 4)	(Instr. 4)	
							Reported		
					(A)		Transaction(s)		
					or	ъ.	(Instr. 3 and 4)		
~			Code V	Amount	(D)	Price			
Common	08/31/2015		A	65,905	Α	\$ 0	65,905	D	
Stock (1)	00/31/2013		Λ	(2)	А	ΨΟ	05,705	D	
~									
Common	08/31/2015		A	37,346	Α	\$ 0	103,251	D	
Stock (1)	00/31/2013		А	(3)	А	ψυ	103,231	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 11.38	08/31/2015		A	54,371	<u>(4)</u>	08/31/2025	Common Stock	54,371

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
• 0	Director	10% Owner	Officer	Other			
Cook Mark			Chief				
2701 AERIAL CENTER PARKWAY			Financial				
MORRISVILLE, NC 27560			Officer				

# **Signatures**

/s/ Diana S. Allen, Attorney-in-fact 09/01/2015

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The security represents restricted stock units granted to the reporting person. Each restricted stock unit represents a contingent right to receive one share of common stock of the issuer.
- (2) The shares underlying these restricted stock units vest in four equal annual installments beginning on August 31, 2016, subject to the reporting person's continuous service with the issuer as of the applicable vesting date.
- (3) The shares underlying these restricted stock units are scheduled to vest in full on March 1, 2016 but will remain subject to forfeiture in the event that the reporting person voluntarily resigns from his employment with the issuer before August 31, 2016.
- (4) The option will vest over a period of four years, with 25% of the shares underlying the option vesting on the first, second, third and fourth anniversaries of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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