## Edgar Filing: Gottesfeld Stephen P - Form 4

| Gottesfeld S   | Stephen P  |                 |  |              |                  |  |  |   |                   |  |
|--|--|-----------------|--|--------------|------------------|--|--|---|-------------------|--|
| Form 4   | 2019   |                 |  |              |                  |  |  |   |                   |  |
| February 26  |  |                 |  |              |                  |  |  | OMB AF  | PROVAL            |  |
| FORM   | <b>4</b> UNITED S  |                 |  |              |                  | NGE C  | OMMISSION  | OMB   | 3235-0287         |  |
| Check th   | nis box  |                 | Vashington   | , D.C. 20    | 1349             |  |  | Number:   | January 31,       |  |
| if no lon<br>subject t<br>Section<br>Form 4 o<br>Form 5                  | o SIATEN<br>16.<br>or  | IENT OF CH      | SECUI  | RITIES       |                  |  | NERSHIP OF   | Expires:<br>Estimated a<br>burden hour<br>response                | 2005<br>verage    |  |
| obligatic<br>may con<br><i>See</i> Instr<br>1(b).                        | ons Section 17(a   | a) of the Publi |  | ding Co      | npan             | y Act of   | 1935 or Section  | 1   |                   |  |
| (Print or Type   | Responses)   |                 |  |              |                  |  |  |   |                   |  |
| 1. Name and Address of Reporting Person <u>*</u><br>Gottesfeld Stephen P |  |                 | Symbol<br>NEWMONT MINING CORP /DE/   |              |                  |  | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)                          |   |                   |  |
| (Last) (First) (Middle) 3<br>6363 SOUTH FIDDLERS GREEN (                 |  |                 |  |              |                  |  | Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>EVP and General Counsel |   |                   |  |
| CIRCLE   | (Street)   |                 | Amendment, D<br>Month/Day/Yea  | -            | al               |  | 6. Individual or Jo<br>Applicable Line)<br>_X_Form filed by O  | one Reporting Per   | rson              |  |
| GREENWO<br>VILLAGE,  |  |                 |  |              |                  |  | Form filed by M<br>Person  | ore than One Re   | porting           |  |
| (City)   | (State)  | (Zip)           | Table I - Non-   | Derivative   | Secu             | rities Acqu  | uired, Disposed of   | , or Beneficial   | ly Owned          |  |
| 1.Title of<br>Security<br>(Instr. 3)                                     | 2. Transaction Date 2A. Deem<br>(Month/Day/Year) Execution<br>any<br>(Month/Da |                 | n Date, if Transaction(A) or Disposed of (D)<br>Code (Instr. 3, 4 and 5)<br>Day/Year) (Instr. 8) |              |                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)                                | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                   |  |
| Common   |  |                 | Code V   | Amount       | (A)<br>or<br>(D) | Price  | Transaction(s)<br>(Instr. 3 and 4)   |   |                   |  |
| Stock,<br>\$1.60 par<br>value  | 02/22/2018   |                 | F  | 2,786<br>(1) | D                | \$<br>38.155   | 141,107  | D   |                   |  |
| Common<br>Stock,<br>\$1.60 par<br>value                                  |  |                 |  |              |                  |  | 1,581 <u>(2)</u>   | Ι   | By 401(k)<br>Plan |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.                        | 6. Date Exer        | cisable and        | 7. Tit | le and                                 | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|---------------------------|---------------------|--------------------|--------|--|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onNumber                  | Expiration D        | ate                | Amou   | int of                                 | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of                        | (Month/Day/         | Year)              | Under  | rlying                                 | Security    | Secu   |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative                | e                   |                    | Secur  | ities                                  | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities                |                     |                    | (Instr | . 3 and 4)                             |             | Owne   |
|             | Security    |                     |                    |            | Acquired                  |                     |                    |        |  |             | Follo  |
|             |             |                     |                    |            | (A) or                    |                     |                    |        |  |             | Repo   |
|             |             |                     |                    |            | Disposed                  |                     |                    |        |  |             | Trans  |
|             |             |                     |                    |            | of (D)                    |                     |                    |        |  |             | (Instr |
|             |             |                     |                    |            | (Instr. 3,                |                     |                    |        |  |             |        |
|             |             |                     |                    |            | 4, and 5)                 |                     |                    |        |  |             |        |
|             |             |                     |                    | Code V     | (A) (D)                   | Date<br>Exercisable | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |             |        |
|             |             |                     |                    | 0000 1     | ( <i>I</i> ) ( <i>D</i> ) |                     |                    |        | Shares                                 |             |        |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>   | Relationships |           |                               |       |  |  |  |
|---|---------------|-----------|-------------------------------|-------|--|--|--|
|   | Director      | 10% Owner | Officer                       | Other |  |  |  |
| Gottesfeld Stephen P<br>6363 SOUTH FIDDLERS GREEN CIRCLE<br>GREENWOOD VILLAGE, CO 80111 |               |           | EVP and<br>General<br>Counsel |       |  |  |  |
| Signatures  |               |           |                               |       |  |  |  |
| Logan H. Hennessey, as attorney-in-fact for Step<br>Gottesfeld                          | phen P.       | 02/26     | 5/2018                        |       |  |  |  |

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld to satisfy tax withholding obligation applicable to the vesting of 6,233 stock-settled restricted stock units.

The reported shareholding is based on the Company's estimation of the share value correlated with the number of units in the fund. As of January 31, 2018, the reporting person held 1,581 shares or 5,817 units of Newmont Mining common stock in his 401(k) Plan.

(2) Fluctuation in share estimate since the filing of the last Form 4 reflects changes in the stock price rather than investments or dispositions of units within the stock fund.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.