### Edgar Filing: BRAINSTORM CELL THERAPEUTICS INC. - Form 3

#### BRAINSTORM CELL THERAPEUTICS INC.

Form 3

November 16, 2016

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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**SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement BRAINSTORM CELL THERAPEUTICS INC. [BCLI] Patlis Alla (Month/Day/Year) 11/14/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O BRAINSTORM CELL (Check all applicable) THERAPEUTICS, INC., 3 UNIVERSITY PLAZA DRIVE, 10% Owner Director **SUITE 320** \_X\_\_ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group See Remarks Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person HACKENSACK, NJÂ 07601 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Derivative	Security:	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Option (right to buy) granted on December 31, 2013	(1)	12/31/2023	Common Stock	4,000	\$ 2.7	D	Â

# **Reporting Owners**

Reporting Owner Name / Address		Relationships				
	Director	10% Owner	Officer	Other		
Patlis Alla						
C/O BRAINSTORM CELL THERAPEUTICS, INC.	â	â	See Remarks	â		
3 UNIVERSITY PLAZA DRIVE, SUITE 320		А	A See Kelliaiks	A		
HACKENSACK, NJ 07601						

# **Signatures**

/s/ Nathaniel Gaede (pursuant to Power of Attorney)

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Option vests and becomes exercisable as to 1/3 of the shares represented thereby on December 31, 2014 and the remainder in 24 successive monthly installments until fully vested on December 31, 2016.

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#### **Remarks:**

### Interim Chief Financial Officer +Â Controller

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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