Harvard Apparatus Regenerative Technology, Inc. Form 3/A July 07, 2015 UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

# **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

(Print or Type Responses)

1. Name and Address of Reporting

Person <u>*</u> La Francesca Saverio			Statement (Month/Day/Year) 04/29/2015		Harvard Apparatus Regenerative Technology, Inc. [HART]				
(Last)	(First)	(Middle)	07/2013	4. Relations Person(s) to	ship of Reporting Sissuer	g 5. If Amendment, Date Original Filed(Month/Day/Year)			
C/O HARVARD APPARATUS REGENERATIVE TECH., 84 OCTOBER HILL ROAD, SUITE 11 (Street) HOLLISTON, MA 01746				Direct X Offic (give title bel		05/13/2015 Owner er low) 6 Individual or Joint/Group			
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Beneficially Owned						
1.Title of Secur (Instr. 4)	rity			unt of Securities cially Owned 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Common Sto	ock		6,714		D	Â			
Reminder: Report on a separate line for each class of securities benefic owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displ currently valid OMB control number.				on of e not	SEC 1473 (7-02	2)			
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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)

**OMB APPROVAL** OMB 3235-0104 Number: Expires:

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	(1)	05/01/2024	Common Stock	100,000	\$ 8.66	D	Â
Stock Option (right to buy)	(2)	03/04/2025	Common Stock	100,000	\$ 4.08	D	Â

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships				
	Director	10% Owner	Officer	Other	
La Francesca Saverio C/O HARVARD APPARATUS REGENERATIVE TECH. 84 OCTOBER HILL ROAD, SUITE 11 HOLLISTON, MA 01746	Â	Â	Chief Medical Officer	Â	
Signatures					

/s/ Chad Porter by power of	07/07/2015		
attorney	07/07/2013		
<b>**</b> Signature of Reporting Person	Date		

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The option became exercisable as to 25,000 of the shares underlying the option on May 1, 2015 with the remaining shares underlying (1) the option vesting in three equal installments on May 1, 2016, 2017 and 2018.
- (2) The shares underlying the option vest in four equal installments on March 4, 2016, 2017, 2018 and 2019.

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## **Remarks:**

This amendment to the Initial Statement of Beneficial Ownership of Securities on Form 3, filed wit

## Exhibit List:

Exhibit 24 - Limited Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.