NeuroMetrix, Inc. Form SC 13G/A February 07, 2013
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
Schedule 13G
INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT TO RULES 13d-1 (b), (c) AND (d) AND AMENDMENTS THERETO FILED PURSUANT TO 13d-2 (b)
(Amendment No. 2)*
NeuroMetrix, Inc.
(Name of Issuer)
Common Stock
(Title of Class of Securities)
641255104
(CUSIP Number)
December 31, 2012
(Date of Event Which Requires Filing of this Statement)
Check the appropriate box to designate the rule pursuant to which this Schedule is filed:
[_]Rule 13d-1(b)

[X]Rule 13d-1(c)
[_]Rule 13d-1(d)
*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.
The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).
(Continued on following pages)
Page 1 of 16 Pages Exhibit Index on Page 14

#### CUSIP NO. 641255104 13 G Page 2 of 16 Pages

NAME OF REPORTING 1SS OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON Delphi Ventures VIII, L.P. ("DV VIII") 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) " (b) x **3SEC USE ONLY**  $_4$ CITIZENSHIP OR PLACE OF ORGANIZATION Delaware NUMBER OF SOLE VOTING POWER 340,324 shares, except that Delphi Management Partners VIII, L.L.C. ("DMP VIII"), the general 5 partner of DV VIII, may be deemed to have sole power to vote these shares, and James J. Bochnowski ("Bochnowski"), David L. Douglass ("Douglass"), John F. Maroney ("Maroney"), Douglas A. Roeder ("Roeder"), and Deepika R. Pakianathan, Ph.D. ("Pakianathan"), the managing members of **SHARES** DMP VIII, may be deemed to have shared power to vote these shares. SHARED VOTING POWER See response to row 5. SOLE DISPOSITIVE POWER BENEFICIALLY 340,324 shares, except that DMP VIII, the general partner of DV VIII, may be deemed to have 7 sole power to dispose of these shares, and Bochnowski, Douglass, Maroney, Roeder and Pakianathan, the managing members of DMP VIII, may be deemed to have shared power to dispose of these shares. OWNED BY **EACH** REPORTING 8 SHARED DISPOSITIVE POWER See response to row 7. **PERSON WITH** 

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9)
EXCLUDES CERTAIN SHARES

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

12 PN

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NAME OF REPORTING 1SS OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON Delphi BioInvestments VIII, L.P. ("DBI VIII")  $_2\mathrm{CHECK}$  THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) " (b) x **3SEC USE ONLY**  $_4$ CITIZENSHIP OR PLACE OF ORGANIZATION Delaware NUMBER OF **SOLE VOTING POWER**  $_{5}$ 3,322 shares, except that DMP VIII, the general partner of DBI VIII, may be deemed to have sole power to vote these shares, and Bochnowski, Douglass, Maroney, Roeder and Pakianathan, the managing members of DMP VIII, may be deemed to have shared power to vote these shares. 6 SHARED VOTING POWER **SHARES** See response to row 5. SOLE DISPOSITIVE POWER 3,322 shares, except that DMP VIII, the general partner of DBI VIII, may be deemed to have sole BENEFICIALLY 7 power to dispose of these shares, and Bochnowski, Douglass, Maroney, Roeder and Pakianathan, the managing members of DMP VIII, may be deemed to have shared power to dispose of these shares. **OWNED BY EACH** REPORTING 8 SHARED DISPOSITIVE POWER See response to row 7. **PERSON WITH** 

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

10 EXCLUDES CERTAIN SHARES

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

12 PN

#### CUSIP NO. 641255104 13 G Page 4 of 16 Pages

NAME OF REPORTING

1SS OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Delphi Management Partners VIII, L.L.C.

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

(a) " (b) x

**3SEC USE ONLY** 

 $_{\!\scriptscriptstyle A}$ CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware

SOLE VOTING POWER NUMBER OF

> 343,646 shares, of which 340,324 are directly owned by DV VIII and 3,322 are directly owned by 5DBI VIII. DMP VIII, the general partner of DV VIII and DBI VIII, may be deemed to have sole power to vote these shares, and Bochnowski, Douglass, Maroney, Roeder and Pakianathan, the managing members of DMP VIII, may be deemed to have shared power to vote these shares.

**SHARES** SHARED VOTING POWER

See response to row 5.

SOLE DISPOSITIVE POWER

**BENEFICIALLY** 343,646 shares, of which 340,324 are directly owned by DV VIII and 3,322 are directly owned by

> 7DBI VIII. DMP VI, the general partner of DV VIII and DBI VIII, may be deemed to have sole power to dispose of these shares, and Bochnowski, Douglass, Maroney, Roeder and Pakianathan, the managing members of DMP VIII, may be deemed to have shared power to dispose of these

OWNED BY

**EACH** 

shares.

REPORTING

8 SHARED DISPOSITIVE POWER See response to row 7.

**PERSON** 

**WITH** 

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9)

**EXCLUDES CERTAIN SHARES** 

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

2.7%

343,646

12 OO

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REPORTING PERSON

12

EXCLUDES CERTAIN SHARES

CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9)

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

NAME OF REPORTING 1SS OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON James J. Bochnowski  $_2\mathrm{CHECK}$  THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) " (b) x **3SEC USE ONLY** CITIZENSHIP OR PLACE OF ORGANIZATION U.S. Citizen SOLE VOTING POWER NUMBER OF 0 shares. SHARED VOTING POWER 6343,646 shares, of which 340,324 are directly owned by DV VIII and 3,322 are directly owned by DBI VIII. Bochnowski is a managing member of DMP VIII, the general partner of DV VIII and **SHARES** DBI VIII, and may be deemed to have shared power to vote these shares. SOLE DISPOSITIVE POWER 0 shares. **BENEFICIALLY OWNED BY EACH** SHARED DISPOSITIVE POWER 8 343,646 shares, of which 340,324 are directly owned by DV VIII and 3,322 are directly owned by REPORTING DBI VIII. Bochnowski is a managing member of DMP VIII, the general partner of DV VIII and DBI VIII, and may be deemed to have shared power to dispose of these shares. **PERSON WITH** AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH 343,646

2.7%

IN

9

#### CUSIP NO. 641255104 13 G Page 6 of 16 Pages

REPORTING PERSON

12

EXCLUDES CERTAIN SHARES

CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9)

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

NAME OF REPORTING 1SS OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON David L. Douglass  $_2\mathrm{CHECK}$  THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) " (b) x **3SEC USE ONLY** CITIZENSHIP OR PLACE OF ORGANIZATION U.S. Citizen SOLE VOTING POWER NUMBER OF 0 shares. SHARED VOTING POWER 6343,646 shares, of which 340,324 are directly owned by DV VIII and 3,322 are directly owned by DBI VIII. Douglass is a managing member of DMP VIII, the general partner of DV VIII and DBI **SHARES** VIII, and may be deemed to have shared power to vote these shares. 7 SOLE DISPOSITIVE POWER 0 shares. **BENEFICIALLY OWNED BY EACH** SHARED DISPOSITIVE POWER 8343,646 shares, of which 340,324 are directly owned by DV VIII and 3,322 are directly owned by REPORTING DBI VIII. Douglass is a managing member of DMP VIII, the general partner of DV VIII and DBI VIII, and may be deemed to have shared power to dispose of these shares. **PERSON WITH** AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH

343,646

2.7%

IN

11

#### CUSIP NO. 641255104 13 G Page 7 of 16 Pages

NAME OF REPORTING 1SS OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON John F. Maroney  $_2\mathrm{CHECK}$  THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) " (b) x **3SEC USE ONLY** CITIZENSHIP OR PLACE OF ORGANIZATION U.S. Citizen SOLE VOTING POWER NUMBER OF 0 shares. SHARED VOTING POWER 6343,646 shares, of which 340,324 are directly owned by DV VIII and 3,322 are directly owned by DBI VIII. Maroney is a managing member of DMP VIII, the general partner of DV VIII and DBI **SHARES** VIII, and may be deemed to have shared power to vote these shares. 7 SOLE DISPOSITIVE POWER 0 shares. **BENEFICIALLY OWNED BY EACH** SHARED DISPOSITIVE POWER 8343,646 shares, of which 340,324 are directly owned by DV VIII and 3,322 are directly owned by REPORTING DBI VIII. Maroney is a managing member of DMP VIII, the general partner of DV VIII and DBI VIII, and may be deemed to have shared power to dispose of these shares. **PERSON WITH** AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH

9 REPORTING PERSON

10 EXCLUDES CERTAIN SHARES

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

12 IN

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CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9)

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

EXCLUDES CERTAIN SHARES

12

NAME OF REPORTING 1SS OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON Douglas A. Roeder  $_2\mathrm{CHECK}$  THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) " (b) x **3SEC USE ONLY** CITIZENSHIP OR PLACE OF ORGANIZATION U.S. Citizen SOLE VOTING POWER NUMBER OF 0 shares. SHARED VOTING POWER 6343,646 shares, of which 340,324 are directly owned by DV VIII and 3,322 are directly owned by DBI VIII. Roeder is a managing member of DMP VIII, the general partner of DV VIII and DBI **SHARES** VIII, and may be deemed to have shared power to vote these shares. 7 SOLE DISPOSITIVE POWER 0 shares. **BENEFICIALLY OWNED BY EACH** SHARED DISPOSITIVE POWER 8343,646 shares, of which 340,324 are directly owned by DV VIII and 3,322 are directly owned by REPORTING DBI VIII. Roeder is a managing member of DMP VIII, the general partner of DV VIII and DBI VIII, and may be deemed to have shared power to dispose of these shares. **PERSON WITH** AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH 343,646 REPORTING PERSON

2.7%

IN

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REPORTING PERSON

12

EXCLUDES CERTAIN SHARES

CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9)

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

NAME OF REPORTING 1SS OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON Deepika R. Pakianathan, Ph.D.  $_2\mathrm{CHECK}$  THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) " (b) x **3SEC USE ONLY** CITIZENSHIP OR PLACE OF ORGANIZATION U.S. Citizen SOLE VOTING POWER NUMBER OF 0 shares. SHARED VOTING POWER 6343,646 shares, of which 340,324 are directly owned by DV VIII and 3,322 are directly owned by DBI VIII. Pakianathan is a managing member of DMP VIII, the general partner of DV VIII and **SHARES** DBI VIII, and may be deemed to have shared power to vote these shares. 7 SOLE DISPOSITIVE POWER 0 shares. **BENEFICIALLY OWNED BY EACH** SHARED DISPOSITIVE POWER 8 343,646 shares, of which 340,324 are directly owned by DV VIII and 3,322 are directly owned by REPORTING DBI VIII. Pakianathan is a managing member of DMP VIII, the general partner of DV VIII and DBI VIII, and may be deemed to have shared power to dispose of these shares. **PERSON WITH** AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH 343,646

2.7%

IN

17

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This Amendment No. 2 amends and restates in its entirety the Schedule 13G previously filed by Delphi Ventures VIII, L.P. ("DV VIII"), Delphi BioInvestments VIII, L.P. ("DBI VIII"), Delphi Management Partners VIII, L.L.C. ("DMP VIII"), James J. Bochnowski ("Bochnowski"), David L. Douglass ("Douglass"), John F. Maroney ("Maroney"), Douglas A. Roeder ("Roeder") and Deepika R. Pakianathan, Ph.D. ("Pakianathan") (together with all prior and current amendments thereto, this "Schedule 13G").

#### NAME OF ISSUER:

ITEM 1(A).

NeuroMetrix, Inc.

#### ADDRESS OF ISSUER'S PRINCIPAL EXECUTIVE OFFICES:

ITEM 1(B). 62 Fourth Avenue Waltham, MA 02451

#### NAME OF PERSONS FILING:

This statement is filed by Delphi Ventures VIII, L.P., a Delaware limited partnership ("DV VIII"), Delphi ITEM BioInvestments VIII, L.P., a Delaware limited partnership ("DBI VIII"), Delphi Management Partners VIII, 2(A). L.L.C., a Delaware limited liability company ("DMP VIII") and the general partner of DV VIII and DBI VIII, and James J. Bochnowski ("Bochnowski"), David L. Douglass ("Douglass"), John F. Maroney ("Maroney"), Douglas A. Roeder ("Roeder") and Deepika R. Pakianathan, Ph.D. ("Pakianathan"), the managing members of DMP VIII. The foregoing entities and individuals are collectively referred to as the "Reporting Persons."

DMP VIII is the general partner of DV VIII and DBI VIII and may be deemed to have sole power to vote and sole power to dispose of shares of the issuer directly owned by DV VIII and DBI VIII. Bochnowski, Douglass, Maroney, Roeder and Pakianathan are the managing members of DMP VIII and may be deemed to have shared power to vote and shared power to dispose of the shares of the issuer directly owned by DV VIII and DBI VIII.

#### ITEM 2(B). ADDRESS OF PRINCIPAL BUSINESS OFFICE, OR, IF NONE, RESIDENCE:

The address of the principal business office for each of the Reporting Persons is:

Delphi Ventures 3000 Sand Hill Road, #1-135 Menlo Park, CA 94025

### **CITIZENSHIP:**

### **ITEM**

2(C) DV VIII and DBI VIII are Delaware limited partnerships. DMP VIII is a Delaware limited liability company. Bochnowski, Douglass, Maroney, Roeder and Pakianathan are United States citizens.

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ITEM 2(D) TITLE OF CLASS OF SECURITIES AND CUSIP NUMBER:

AND

ITEM 2(E). Common Stock CUSIP # 641255104

ITEM 3. Not Applicable.

#### **OWNERSHIP:**

### ITEM

4. Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

#### Amount beneficially owned:

(a) See Row 9 of cover page for each Reporting Person.

#### Percent of Class:

(b)

See Row 11 of cover page for each Reporting Person.

- (c) Number of shares as to which such person has:
- (i) Sole power to vote or to direct the vote:

See Row 5 of cover page for each Reporting Person.

(ii) Shared power to vote or to direct the vote:

See Row 6 of cover page for each Reporting Person.

(iii) Sole power to dispose or to direct the disposition of:

See Row 7 of cover page for each Reporting Person.

#### (iv) Shared power to dispose or to direct the disposition of:

See Row 8 of cover page for each Reporting Person.

#### OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS:

#### **ITEM**

5. If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following: [X] Yes

#### OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON:

ITEM 6.

Please see Item 5.

# IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE ITEM SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY:

7.

Not applicable.

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#### IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP:

ITEM 8.

Not applicable.

#### **NOTICE OF DISSOLUTION OF GROUP:**

ITEM 9.

Not applicable.

#### **CERTIFICATION**:

ITEM By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

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<u>SIGNATURES</u>
After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.
Dated: February 6, 2013
Entities:
DELPHI MANAGEMENT PARTNERS VIII, L.L.C.
DELPHI VENTURES VIII, L.P. DELPHI BIOINVESTMENTS VIII, L.P.
By: /s/ Matthew T. Potter
Matthew T. Potter, Attorney-in-fact for above-listed entities
Individuals:
Louis I. Daylor and C
James J. Bochnowski David L. Douglass

John F. Maroney

Douglas A. Roeder Deepika R. Pakianathan, Ph.D.

By: /s/ Matthew T. Potter

Matthew T. Potter, Attorney-in-fact for above-listed individuals

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### **EXHIBIT INDEX**

Found on Sequentially

Exhibit Numbered Page

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Exhibit B: Power of Attorney 16

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exhibit A

#### Agreement of Joint Filing

The Reporting Persons hereby agree that a single Schedule 13G (or any amendment thereto) relating to the Common Stock of NeuroMetrix, Inc. shall be filed on behalf of each of the Reporting Persons. Note that copies of the applicable Agreement of Joint Filing are already on file with the appropriate agencies.

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exhibit B

### Power of Attorney

Matthew T. Potter has signed this Schedule 13G as Attorney-In-Fact. Note that copies of the applicable Power of Attorney are already on file with the appropriate agencies.