#### Edgar Filing: MONMOUTH REAL ESTATE INVESTMENT CORP - Form 4

#### MONMOUTH REAL ESTATE INVESTMENT CORP

Form 4 March 16, 2017

FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

0.5

January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average burden hours per response...

if no longer subject to

may continue.

See Instruction

Check this box

**SECURITIES** Section 16. Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading LANDY MICHAEL P Issuer Symbol MONMOUTH REAL ESTATE (Check all applicable) INVESTMENT CORP [MNR] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_ Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) 3499 ROUTE 9 N STE 3D 03/15/2017 President and CEO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting FREEHOLD, NJ 07728 Person

(City)	(State) (Z	Cip) Table	I - Non-De	erivative Secu	ırities	Acquired,	Disposed of, or Be	neficially Ow	vned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities on Disposed of (Instr. 3, 4 and Amount	(D)	red (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Monmouth Real Estate Investment Corporation	03/16/2017		P	1,200	A	\$ 14.205	348,028.9239	D	
Monmouth Real Estate Investment Corporation	03/15/2017		P	150.7159	A	\$ 13.27	346,828.9239 (1)	D	
Monmouth Real Estate Investment Corporation							32,553.959 (2)	I	Held By Spouse

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Monmouth Real Estate Investment Corporation	79,253.357 (3)	I	Account is C/F Daughter, Monica
Monmouth Real Estate Investment Corporation	79,513.388 <u>(4)</u>	I	Account is C/F Son, Aaron
Monmouth Real Estate Investment Corporation	53,000	I	Co-Manager of EWL Grandchildren Fund LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	<b>:</b>	ate	7. Titl Amou Under Securi (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1 8	Director	10% Owner	Officer	Other		
LANDY MICHAEL P						
3499 ROUTE 9 N STE 3D	X		President and CEO			
FREEHOLD NI 07728						

Reporting Owners 2

## **Signatures**

Susan M. Jordan 03/16/2017

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 150.7159 shares purchased through the Dividend Reinvestment and Stock Purchase Plan on 3/15/2017 and 1,537.301 shares acquired through dividend reinvestment on 3/15/2017. Also includes 21,652 shares held in the 401K Plan as of 3/2017.
- (2) Includes 301.045 shares acquired through dividend reinvestment on 3/15/2017.
- (3) Includes 944.195 shares acquired through dividend reinvestment on 3/15/2017.
- (4) Includes 947.292 shares acquired through dividend reinvestment on 3/15/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3