#### Edgar Filing: MONMOUTH REAL ESTATE INVESTMENT CORP - Form 4

#### MONMOUTH REAL ESTATE INVESTMENT CORP

Form 4

March 10, 2017

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

**WOLGIN STEVEN B** 

2. Issuer Name and Ticker or Trading

Symbol

MONMOUTH REAL ESTATE INVESTMENT CORP [MNR]

(Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

3499 ROUTE 9 NORTH, SUITE 3D 03/09/2017

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

\_X\_\_ Director 10% Owner Other (specify Officer (give title

below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

FREEHOLD, NJ 07728

(City)	(State) (Z	Table 1	I - Non-De	rivative Se	curiti	es Acquii	red, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Monmouth Real Estate Investment Corporation (Pref Series C)	03/10/2017		P	2,500	A	\$ 24.11	13,000	D	
Monmouth Real Estate Investment Corporation (Pref Series C)	03/09/2017		Р	2,000	A	\$ 24.5	10,500	D	

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Monmouth Real Estate Investment Corporation	58,177.78	D	
Monmouth Real Estate Investment Corporation	2,883.466	I	Held by Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. orNumber of Derivative Securities		ate	7. Title Amount Underly Securitic (Instr. 3	t of ring es	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own
	Security			Acquired			(111511. 3	una i)		Follo
	Socurity			(A) or						Repo
				Disposed						Trans
				of (D)						(Instr
				(Instr. 3,						
				4, and 5)						
							Α	Amount		
					Date Exercisable	Expiration Date	Title N	Number		
			Code V	(A) (D)			S	Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
<b>Fg</b>	Director	10% Owner	Officer Othe				
WOLGIN STEVEN B 3499 ROUTE 9 NORTH SUITE 3D FREEHOLD, NJ 07728	X						
Signatures							

Susan M. Jordan	03/10/2017			
**Signature of	Date			

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.