Edgar Filing: Interactive Brokers Group, Inc. - Form 4

Interactive Brokers Group, Inc. Form 4 October 28, 2014

October 28,	2014										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB APPROVAL				
	• • UNITED	STATES			ND EXC D.C. 2054		GE C	OMMISSION	OMB Number:	3235-0287	
Check th if no long subject to	ger STATEN	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF								January 31, 2005 average	
Section 16. Form 4 or				SECURITIES					burden hou response		
Form 5 obligatio may cont <i>See</i> Instru 1(b).	ns Section 17(a) of the H	Public U	tility Hold		any .	Act of	e Act of 1934, 1935 or Section 0	1		
(Print or Type I	Responses)										
1. Name and Address of Reporting Person <u>*</u> Nemser Earl H			2. Issuer Name and Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer			
			Interact [IBKR]		ers Group,	Inc.		(Check all applicable)			
(M			3. Date of Earliest Transaction (Month/Day/Year) 10/24/2014					_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below)			
	(Street)			endment, Da	ate Original			6. Individual or Jo	ce Chairman int/Group Filir	lg(Check	
				nth/Day/Year	-			Applicable Line) _X_ Form filed by C	One Reporting Pe	rson	
GREENWI	CH, CT 06830							Form filed by M Person	lore than One Re	porting	
(City)	(State)	(Zip)	Tab	le I - Non-E	Derivative Se	ecuriti	ies Acqu	iired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ned Date, if ay/Year)	4. Securitie on(A) or Disp (Instr. 3, 4 a	osed o	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Class A				Code V	Amount	(D)	Price \$	(Instr. 3 and 4)		By IBG	
Common Stock	10/24/2014			А	100,000 (1)	А	φ 25.9 (2)	100,000	Ι	Holdings LLC	
Class A Common Stock								91,836	D <u>(3)</u>		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Tit Amou Unde: Secur (Instr	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Nemser Earl H ONE PICKWICK PLAZA GREENWICH, CT 06830	Х		Vice Chairman					
Signatures								

Signatures

/s/ Raymond Bussiere as authorized signatory for Earl H. 10/28/2014 Nemser **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents number of securities owned by IBG Holdings LLC in which the Reporting Person has a pecuniary interest through his (1)membership interest in IBG Holdings LLC.

The shares were acquired in a share for share exchange between IBG Holdings LLC and the issuer, in which each share of Class A Common Stock of the issuer was acquired by IBG Holdings LLC in exchange for one membership interest in IBG LLC. The per share

(2)price provided represents the closing price of the issuer's Class A Common Stock on 10/24/2014, the date when the exchange described took place.

These shares of Class A Common Stock are owned directly by the Reporting Person and represent the aggregate number of shares of (3) restricted stock from awards granted under the 2007 Stock Incentive Plan since its inception, less vested shares that were withheld for tax purposes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.