#### NEITHERCUT DAVID J

Form 5

February 14, 2019

# FORM 5

#### **OMB APPROVAL**

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0362 Number: January 31,

no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box if

Expires: 2005 Estimated average burden hours per

## ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

response... 1.0

may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported Form 4

(Last)

30(h) of the Investment Company Act of 1940

Transactions Reported

1. Name and Address of Reporting Person \* NEITHERCUT DAVID J

(First)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

Symbol **EQUITY RESIDENTIAL [EQR]** 

3. Statement for Issuer's Fiscal Year Ended

(Check all applicable)

(Month/Day/Year)

12/31/2018

\_X\_ Director 10% Owner Officer (give title Other (specify

below)

below)

TWO NORTH RIVERSIDE PLAZA, SUITE 400

(Street)

(Middle)

4. If Amendment, Date Original

6. Individual or Joint/Group Reporting

Filed(Month/Day/Year)

(check applicable line)

### CHICAGO, ILÂ 60606

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. Transaction Code (Instr. 8)

4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned at end of Issuer's

6. Ownership Form: Direct (D) or Indirect (I)

(Instr. 4)

7. Nature of Indirect Beneficial Ownership (Instr. 4)

or

Amount (D) Price

(A)

Fiscal Year (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 2270** (9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5. Number of    | 6. Date Exercisable and | 7. Title and Amount  |
|-------------|-------------|---------------------|--------------------|-------------|-----------------|-------------------------|----------------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | Derivative      | Expiration Date         | Underlying Securitie |
| Security    | or Exercise |                     | any                | Code        | Securities      | (Month/Day/Year)        | (Instr. 3 and 4)     |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Acquired (A) or |                         |                      |

### Edgar Filing: NEITHERCUT DAVID J - Form 5

|                                   | Derivative<br>Security |            |   |   | Disposed (Instr. 3, 5) |       |                     |                    |   |                                   |
|-----------------------------------|------------------------|------------|---|---|------------------------|-------|---------------------|--------------------|---|-----------------------------------|
|                                   |                        |            |   |   | (A)                    | (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amor<br>or<br>Numl<br>of<br>Share |
| Operating<br>Partnership<br>Units | \$ 0                   | 01/19/2018 | Â | G | Â                      | 1,376 | (1)                 | (1)                | Common<br>Shares Of<br>Beneficial<br>Interest | 1,31                              |
| Operating<br>Partnership<br>Units | \$ 0                   | 01/19/2018 | Â | G | 1,376                  | Â     | (1)                 | (1)                | Common<br>Shares Of<br>Beneficial<br>Interest | 1,31                              |
| Operating<br>Partnership<br>Units | \$ 0                   | 01/19/2018 | Â | G | 1,376                  | Â     | (1)                 | (1)                | Common<br>Shares Of<br>Beneficial<br>Interest | 1,31                              |
| Operating<br>Partnership<br>Units | \$ 0                   | 01/19/2018 | Â | G | Â                      | 1,376 | (1)                 | (1)                | Common<br>Shares Of<br>Beneficial<br>Interest | 1,31                              |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |
|---|---------------|-----------|---------|-------|--|
| . 6   | Director      | 10% Owner | Officer | Other |  |
| NEITHERCUT DAVID J<br>TWO NORTH RIVERSIDE PLAZA, SUITE 400<br>CHICAGO, IL 60606 | ÂX            | Â         | Â       | Â     |  |

# **Signatures**

/s/ Samantha Thompson, Attorney-in-fact 02/14/2019

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The OP Units reflected in this report are previously reported Restricted Units which have automatically converted to OP Units per their terms. The OP Units do not expire and are fully vested, transferable and can be exchanged by the holder for common shares of Equity Residential (the "Company") on a one-for-one basis or, at the Company's option, for the cash value of such shares.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2