RANKIN MATTHEW M
Form 4
November 02, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN MATTHEW M

(Last) (First) (Middle)

5875 LANDERBROOK DRIVE

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

3. Date of Earliest Transaction (Month/Day/Year)
10/31/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

_____ Director _____ 10% Owner
_____ Officer (give title below) __X__ Other (specify below)
Member of a Group

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Class A Common Stock	10/31/2018		P		1 (1)	A	$ 61.07 (2)	185	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/31/2018		P		1 (1)	A	$ 61.07 (2)	185	I	Spouse's proportionate interest in shares held by Rankin

								Associates VI
Class A Common Stock	10/31/2018	P	1 [(1)]	A	$ 61.07 [(2)]	184	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/31/2018	P	1 [(1)]	A	$ 61.07 [(2)]	184	I	Child's proportionate interest in shares held by Rankin Associate VI
Class A Common Stock	10/31/2018	P	1 [(1)]	A	$ 61.83 [(3)]	185	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/31/2018	P	1 [(1)]	A	$ 61.83 [(3)]	185	I	Child's proportionate interest in shares held by Rankin Associate VI
Class A Common Stock	10/31/2018	P	1 [(1)]	A	$ 62.97 [(4)]	186	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/31/2018	P	1 [(1)]	A	$ 62.97 [(4)]	186	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/31/2018	P	1 [(1)]	A	$ 62.97 [(4)]	186	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	10/31/2018	P	1 [(1)]	A	$ 62.97 [(4)]	186	I	Child's proportionate interest in

									shares held by Rankin Associate VI
Class A Common Stock	11/01/2018		P	2 (1)	A	$ 61.67 (5)	188	I	Reporting person's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018		P	2 (1)	A	$ 61.67 (5)	188	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018		P	1 (1)	A	$ 61.67 (5)	187	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018		P	1 (1)	A	$ 61.67 (5)	187	I	Child's proportionate interest in shares held by Rankin Associate VI
Class A Common Stock	11/01/2018		P	1 (1)	A	$ 62.18 (6)	188	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018		P	1 (1)	A	$ 62.18 (6)	188	I	Child's proportionate interest in shares held by Rankin Associate VI
Class A Common Stock							722	I	Held by Reporting Person's spouse. (7)
Class A Common Stock							2,058	I	Spouse's proportionate interests in

			shares held by Rankin Associates II. (7)
Class A Common Stock	1,553	I	Minor child's trust?s proportionate interests in shares held by Rankin Associates II. (7)
Class A Common Stock	645	I	Reporting Person is co-trustee of a Trust fbo minor child. (7)
Class A Common Stock	500	D	
Class A Common Stock	9,103	I	Reporting Person?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	10,838	I	Held through a trust of which the Reporting Person is trustee
Class A Common Stock	1,405	I	Minor child's trust?s proportionate interests in shares held by Rankin Associates II. (7)
Class A Common Stock	563	I	Reporting Person is co-trustee of a Trust fbo minor child.

(7)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	(8)							(8)	(8)	Class A Common Stock	722	
Class B Common Stock	(8)							(8)	(8)	Class A Common Stock	2,058	
Class B Common Stock	(8)							(8)	(8)	Class A Common Stock	1,553	
Class B Common Stock	(8)							(8)	(8)	Class A Common Stock	645	

Class B Common Stock	(8)		(8)	(8)	Class A Common Stock	500
Class B Common Stock	(8)		(8)	(8)	Class A Common Stock	9,103
Class B Common Stock	(8)		(8)	(8)	Class A Common Stock	11,170
Class B Common Stock	(8)		(8)	(8)	Class A Common Stock	1,405
Class B Common Stock	(8)		(8)	(8)	Class A Common Stock	563

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RANKIN MATTHEW M 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124				Member of a Group

Signatures

/s/ Suzanne S. Taylor, attorney-in-fact	11/02/2018
**Signature of Reporting Person	Date

Explanation of Responses:

***** If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

****** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares purchased pursuant to 10b5-1 plan

(2) 2018-Oct-31 -Block 1 Weighted Average- Share Price represents average price between $60.50 and $61.34.

(3) 2018-Oct-31 -Block 2 Weighted Average- Share Price represents average price between $61.50 and $62.00.

(4) 2018-Oct-31 -Block 3 Weighted Average- Share Price represents average price between $62.85 and $64.99.

(5) 2018-Nov-1 -Block 2 Weighted Average- Share Price represents average price between $61.25 and $61.96.

(6) 2018-Nov-1 -Block 3 Weighted Average- Share Price represents average price between $62.00 and $62.97.

(7) Reporting Person disclaims beneficial ownership of all such shares.

(8) N/A

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