### Edgar Filing: Servatius Gregory - Form 4

| Servatius Gr  | egory                                   |  |  |   |              |   |   |   |               |                     |  |
|---|---|--|--|---|--------------|---|---|---|---------------|---------------------|--|
| Form 4  |   |  |  |   |              |   |   |   |               |                     |  |
| June 19, 201  | 8                                       |  |  |   |              |   |   |   |               |                     |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION   |   |  |  |   |              |   |   |   | OMB APPROVAL  |                     |  |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549  |   |  |  |   |              | OMIMI55ION  | OMB<br>Number:  | 3235-0287   |               |                     |  |
| Check this box<br>if no longer  |   |  |  |   |              |   | Expires:  | January 31,   |               |                     |  |
| subject to<br>Section 1   | IENT OF                                 | F CHANGES IN BENEFICIAL OWN<br>SECURITIES    |  |   |              |   | NERSHIP OF  | Estimated a burden hour   | 's per        |                     |  |
| Form 4 orresponse0.Form 5Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,5obligationsSection 17(a) of the Public Utility Holding Company Act of 1935 or Section30(h) of the Investment Company Act of 19401(b).30(h) of the Investment Company Act of 1940 |   |  |  |   |              |   |   | 0.5   |               |                     |  |
| (Print or Type I  | Responses)                              |  |  |   |              |   |   |   |               |                     |  |
| 1. Name and Address of Reporting Person <u>*</u><br>Servatius Gregory   |   |  | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>STEPAN CO [SCL] |   |              |   |   | 5. Relationship of Reporting Person(s) to<br>Issuer   |               |                     |  |
| (Last)  | (First) (N                              |  |  | -   | -            |   |   | (Check all applicable)  |               |                     |  |
| (Last) (First) (Middle)<br>22 W. FRONTAGE ROAD  |   |  | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>06/15/2018        |   |              |   |   | Director 10% Owner<br>Officer (give title Other (specify<br>below)<br>VP of Human Resources |               |                     |  |
|   |   |  | 4. If Amendment, Date Original Filed(Month/Day/Year)                     |   |              |   |   | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)                               |               |                     |  |
| NORTHFIE  |   |  |  |   |              | _X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |   |   |               |                     |  |
| (City)  | (State)                                 | (Zip)  | Tabl   | e I - Non-D   | )erivative ( | Securi  | ties Acm  | uired, Disposed of.   | or Beneficial | v Owned             |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deeme<br>Execution I<br>any<br>(Month/Da | ed<br>Date, if   | 3. 4. Securities Acquired<br>if Transaction(A) or Disposed of (D)<br>Code (Instr. 3, 4 and 5) |              | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported              | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)                           |               |                     |  |
| ~   |   |  |  | Code V  | Amount       | (A)<br>or<br>(D)  | Price   | Transaction(s)<br>(Instr. 3 and 4)  | (Instr. 4)    |                     |  |
| Common<br>Stock   | 06/15/2018                              |  |  | A <u>(1)</u>  | 55.231       | А   | \$<br>75.88   | 21,813.499  | D             |                     |  |
| Common<br>Stock   |   |  |  |   |              |   |   | 4,609.569 <u>(2)</u>  | Ι             | By Esop II<br>Trust |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of<br>orDerivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exer<br>Expiration E<br>(Month/Day | Date               | 7. Title and A<br>Underlying S<br>(Instr. 3 and | Securities                          | 8. Pr<br>Deriv<br>Secu<br>(Inst |
|---|---|---|---|--|--|--|--------------------|---|-------------------------------------|---------------------------------|
|   |   |   |   | Code V                                 | (A) (D)  | Date<br>Exercisable                        | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |                                 |
| Share<br>Units <u>(3)</u>                           | <u>(4)</u>  | 06/15/2018                              |   | А                                      | 19.962   | (5)  | (5)                | Common<br>Stock                                 | 19.962                              | \$ 7<br>(                       |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>                            | Relationships |           |                       |       |  |  |  |  |
|--|---------------|-----------|-----------------------|-------|--|--|--|--|
| 1  | Director      | 10% Owner | Officer               | Other |  |  |  |  |
| Servatius Gregory<br>22 W. FRONTAGE ROAD<br>NORTHFIELD, IL 60093 |               |           | VP of Human Resources |       |  |  |  |  |
| Signatures   |               |           |                       |       |  |  |  |  |
| /s/ Matthew M Rice attorney-i                                    | n-fact for    | r Gregory |                       |       |  |  |  |  |

| Servatius                       | 06/19/2018 |
|---------------------------------|------------|
| **Signature of Reporting Person | Date       |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects acquisition of deferred share units under the Performance Award Deferred Compensation Plan ("Plan") pursuant to a dividend equivalent feature of the Plan.
- (2) Reflects ESOP II acquisitions that have occurred since the Reporting Person's last ownership report covering ESOP II holdings.
- Share Units are acquired under the Management Incentive Plan (As Amended and Restated Effective January 1, 2015) ("MIP"), a nonqualified deferred compensation plan which allows MIP participants to elect to defer all or a portion of their deferred compensation into accounts pursuant to MIP provisions.
- (4) Share Units convert on a one-for-one basis into Common Stock.
- (5) Reflects acquisition of Share Units pursuant to a dividend equivalent feature of the MIP, generally payable at end of employment, unless otherwise elected.
- (6) Price reported is the price of Common Stock on the date the dividend equivalents are payable pursuant to a dividend equivalent feature of the MIP.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.