Edgar Filing: WOO WILBUR K - Form 4

WOO WILBUR K

Form	4			

January 21, 2003		
FORM 4	UNITED STATES SECURITIES AND EXCHANGE COMMISSION	OMB APPROVAL
• Check this box if no	Washington, D.C. 20549	
longer subject to Section 16. Form 4 or Form 5 obligations may	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP	
continue. See Instruction 1(b). (Print or Type Responses)	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940	OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response0.5

			6. Relationship of Reporting Person(s) to Issuer
			(Check all applicable)
			ý Director
1. Name and Address of Reporting Person *			o 10% Owner
Woo Wilbur K.	2. Issuer Name and Ticker or Trading Symbol		
(Last) (First) (Middle)	Cathay Bancorp, Inc.	4. Statement for (Month/Day/Year)	ý Officer (give title below)
777 North Broadway	САТҮ	1/16/2003	o Other (specify below)
(Street)			Vice Chairman
Los Angeles CA 90012 (City) (State) (Zip)	3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)	5. If Amendment, Date of Original (Month/Day/Year)	7. Individual or Joint/Group Filing (Check Applicable Line)
			ý Form filed by One Reporting Persono Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	Transaction Date (Month/Day/	Date, if any		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Owned Following	Direct (D) or Indirect (I)	7. Nature of Indirect	
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Common Stock							270,379	Ι	Living Trust
Common Stock		Code	V	Amount	(A) or (D)	Price	3,720	I	cust. for child
Common Stock							8,779	Ι	Family Foundation

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	2. Conversion	3	3A. Deemed	Code	actio	5. Num of Deriva Securit Acquir (A) or Dispos of (D) (Instr. 1 and 5)	ative ities red sed 3, 4		cisable and ate	Amou Unde Secur	rlying		Securities Beneficially	10. Ownership Form of Derivative Securities:	11. Nature
Derivative Security	or Exercise Price of Derivative	Transaction Date (Month/Day/	SA. Deenled Execution Date, if any (Month/Day/ Year)	Code	\mathbf{v}	(A)	(D)		Expiration Date	Title	or Number of	8. Price of Derivative Security	Following Reported Transaction(s)	Direct (D) or Indirect (I)	of Indirect Beneficial Ownership (Instr. 4)
Option *	\$16.50				ⅅ			(1)	9/17/08	С	1,000		1,000	D	
Option *	\$21.25				Ð			(2)	1/20/10	С	1,000		1,000	D	
Option *	\$30.095				\square			(3)	1/18/11	С	1,000		1,000	D	
Option *	\$32.55				\square			(4)	2/21/12	С	1,000		1,000	D	
Option	\$39.85	1/16/03		Α	\square	1,900		(5)	1/16/13	С	1,900		1,900	D	
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Explanation of Responses:

Previously reported.

(1) The option vests in 5 equal annual installments beginning 9/17/99.

(2) The option vests in 5 equal annual installments beginning 1/20/01.

(3) The option vests in 5 equal annual installments beginning 1/18/02.

(4) The option vests in 5 equal annual installments beginning 2/21/03.

(5) The option vests in 5 equal annual installments beginning 1/16/04.

/s/ Wilbur K. Woo	1/21/03
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

http://www.sec.gov/divisions/corpfin/forms/form4.htm

Last update: 09/05/2002