

Edgar Filing: VOICESTREAM WIRELESS CORP /DE - Form 4

VOICESTREAM WIRELESS CORP /DE  
Form 4  
May 10, 2001

1

----- UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
FORM 4 WASHINGTON, D.C. 20549  
-----

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

[ ] CHECK THIS BOX IF NO  
LONGER SUBJECT TO  
SECTION 16. FORM 4  
OR FORM 5 OBLIGATIONS  
MAY CONTINUE. SEE  
INSTRUCTION 1(b).  
(Print or Type Responses)

Filed pursuant to Section 16(a) of the Securities  
Exchange Act of 1934, Section 17(a) of the  
Public Utility Holding Company Act of 1935  
or Section 30(f) of the Investment Company  
Act of 1940

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol	6. R
Stanton	John	W.	VoiceStream Wireless Corporation (VSTR)	t
(Last)	(First)	(Middle)	3. IRS or Social Security	4. Statement for
VoiceStream Wireless Corporation			Number of Reporting	Month/Year
12920 SE 38th St.			Person	4/2001
	(Street)		(Voluntary)	
Bellevue	WA	98006		5. If Amendment,
(City)	(State)	(Zip)		Date of Original
USA				(Month/Year)
				7. I
				(

TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL

1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	3. Trans- action Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficial Owned at End of Mo (Instr. 3)
		Code V	Amount (A) or (D) Price	
Common Stock	4/2/2001	S	5,000.00 (1) D \$ 92.5461	
Common Stock	4/3/2001	S	5,000.00 (1) D \$ 88.1129	
Common Stock	4/4/2001	S	5,000.00 (1) D \$ 91.8981	
Common Stock	4/5/2001	S	5,000.00 (1) D \$ 96.4939	
Common Stock	4/6/2001	S	5,000.00 (1) D \$ 93.8453	
Common Stock	4/9/2001	S	5,000.00 (1) D \$ 95.2301	
Common Stock	4/10/2001	S	5,000.00 (1) D \$101.4405	

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Common Stock	4/11/2001	S	5,000.00 (1)	D	\$ 99.8039
Common Stock	4/12/2001	S	5,000.00 (1)	D	\$ 99.8533
Common Stock	4/16/2001	S	5,000.00 (1)	D	\$ 99.2415

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly by the reporting person.

\*If the form is filed by more than one reporting person, see Instruction 4(b) (v).

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FORM 4 (CONTINUED)

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL  
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

[illegible]

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Explanation of Responses:

1. The sale was pursuant to a Rule 10b5-1 plan on file with the Company.

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/  
---  
\*\*Si

Note: File three copies of this Form, one of which must be manually signed.  
If space is insufficient, see Instruction 6 for procedure.  
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contained in this form are not required to respond unless the form  
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FORM 4  
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

[ ] CHECK THIS BOX IF NO  
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SECTION 16. FORM 4  
OR FORM 5 OBLIGATIONS  
MAY CONTINUE. SEE  
INSTRUCTION 1(b).  
(Print or Type Responses)

Filed pursuant to Section 16(a) of the Securities  
Exchange Act of 1934, Section 17(a) of the  
Public Utility Holding Company Act of 1935  
or Section 30(f) of the Investment Company  
Act of 1940

1. Name and Address of Reporting Person\*  
Stanton John W.  
-----  
(Last) (First) (Middle)  
VoiceStream Wireless Corporation  
3650 131st Avenue SE, Ste. 400  
-----  
Bellevue (Street) WA 98006  
-----  
(City) (State) (Zip)  
USA  
-----

2. Issuer Name and Ticker or Trading Symbol  
VoiceStream Wireless Corporation (VSTR)  
-----  
3. IRS or Social Security  
Number of Reporting  
Person  
(Voluntary)  
-----  
4. Statement for  
Month/Year  
4/2001  
-----  
5. If Amendment,  
Date of Original  
(Month/Year)  
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TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIA

1. Title of Security (Instr. 3)	2. Trans- action Date  (Month/ Day/ Year)	3. Trans- action Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficia Owned at End of Mo (Instr. 3)
		Code	V	Amount	(A) or (D)	Price
Common Stock	4/17/2001	S		5,000.00 (1)	D	\$97.2928
Common Stock	4/18/2001	S		5,000.00 (1)	D	\$103.465
Common Stock	4/19/2001	S		5,000.00 (1)	D	\$104.317
Common Stock	4/20/2001	S		5,000.00 (1)	D	\$103.8829
Common Stock	4/23/2001	S		5,000.00 (1)	D	\$103.0019
Common Stock	4/24/2001	S		5,000.00 (1)	D	\$104.3754
Common Stock	4/25/2001	S		5,000.00 (1)	D	\$105.0963
Common Stock	4/26/2001	S		5,000.00 (1)	D	\$103.8201
Common Stock	4/27/2001	S		5,000.00 (1)	D	\$104.1025
Common Stock	4/30/2001	S		5,000.00 (1)	D	\$105.549

Reminder: Report on a separate line for each class of securities beneficially owned directly or i  
\*If the form is filed by more than one reporting person, see Instruction 4(b)(v).

4

FORM 4 (CONTINUED)

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL  
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7.
			Code V	(A) (D)	Date Exercisable Expiration Date	

9. Number of Derivative Securities Beneficially Owned at End of Month (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
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Explanation of Responses:

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/  
-----  
\*\*Si  
Jo

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FORM 4  
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

[ ] CHECK THIS BOX IF NO LONGER SUBJECT TO SECTION 16. FORM 4 OR FORM 5 OBLIGATIONS MAY CONTINUE. SEE	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company
---	---

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INSTRUCTION 1(b).  
(Print or Type Responses)

Act of 1940

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol	6. R
Stanton	John	W.	VoiceStream Wireless Corporation (VSTR)	t
-----			-----	
(Last)	(First)	(Middle)	3. IRS or Social Security	4. Statement for
3650 131st Avenue SE, Ste. 400			Number of Reporting	Month/Year
-----			Person	4/2001
	(Street)		(Voluntary)	-----
Bellevue	WA	98006		5. If Amendment,
-----				Date of Original
(City)	(State)	(Zip)		(Month/Year)
				7. I
				(
				-----
				-----

TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL

1. Title of Security (Instr. 3)	2. Trans- action Date  (Month/ Day/ Year)	3. Trans- action Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at End of Mo (Instr. 3)
			-----	
		Code	V	Amount (A) or Price (D)
Common Stock	4/30/2001	S		28,017.00 (1) D \$106.0435 2,785,8
Common Stock	4/2/2001	S		3,000.00 (1) D \$ 92.5461
Common Stock	4/3/2001	S		3,000.00 (1) D \$ 88.1129
Common Stock	4/4/2001	S		3,000.00 (1) D \$ 91.8981
Common Stock	4/5/2001	S		3,000.00 (1) D \$ 96.4939
Common Stock	4/6/2001	S		3,000.00 (1) D \$ 93.8453
Common Stock	4/9/2001	S		3,000.00 (1) D \$ 95.2301
Common Stock	4/10/2001	S		3,000.00 (1) D \$101.4405
Common Stock	4/11/2001	S		3,000.00 (1) D \$ 99.8039
Common Stock	4/12/2001	S		3,000.00 (1) D \$ 99.8533

Reminder: Report on a separate line for each class of securities beneficially owned directly or i  
\*If the form is filed by more than one reporting person, see Instruction 4(b) (v).

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7.	
			Code	V	(A)	(D)	Date Exercisable and Expiration Date

10. Ownership  
Form of  
Derivative  
Security:  
Direct (D)  
or Indirect (I)  
(Instr. 4)

11. Nature of Indirect Beneficial Ownership (Instr. 4)

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/  
-----  
\*\*Si  
John

7

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FORM 4  
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

[ ] CHECK THIS BOX IF NO  
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SECTION 16. FORM 4  
OR FORM 5 OBLIGATIONS  
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INSTRUCTION 1(b).

Filed pursuant to Section 16(a) of the Securities  
Exchange Act of 1934, Section 17(a) of the  
Public Utility Holding Company Act of 1935  
or Section 30(f) of the Investment Company  
Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol	6. R
Stanton	John	W.	VoiceStream Wireless Corporation (VSTR)	t
(Last)	(First)	(Middle)		
VoiceStream Wireless Corporation			3. IRS or Social Security	4. Statement for
3650 131st Avenue SE, Ste 400			Number of Reporting	Month/Year
			Person	4/2001
			(Voluntary)	
			5. If Amendment,	
			Date of Original	
			(Month/Year)	
Bellevue	(Street)	98006		
	WA			
(City)	(State)	(Zip)		
USA				

TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIA

1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	3. Trans- action Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficia Owned at End of Mo (Instr. 3)
			Amount (A) or (D)	Price
Common Stock	4/16/2001	S	3,000.00 (1) D	\$ 99.2415
Common Stock	4/17/2001	S	3,000.00 (1) D	\$ 97.2928
Common Stock	4/18/2001	S	3,000.00 (1) D	\$103.465
Common Stock	4/19/2001	S	3,000.00 (1) D	\$104.317



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Common Stock	4/20/2001	S	3,000.00 (1)	D	\$103.8829
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Common Stock	4/23/2001	S	3,000.00 (1)	D	\$103.0019
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Common Stock	4/24/2001	S	3,000.00 (1)	D	\$104.3754
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Common Stock	4/25/2001	S	3,000.00 (1)	D	\$105.0983
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Common Stock	4/26/2001	S	3,000.00 (1)	D	\$103.8201
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Common Stock	4/27/2001	S	3,000.00 (1)	D	\$104.1025
--------------	-----------	---	--------------	---	------------

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly by the reporting person.

\*If the form is filed by more than one reporting person, see Instruction 4(b) (v).

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FORM 4 (CONTINUED)

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL  
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7.

Code	V	(A)	(D)	Date Ex- cisable	Expira- tion Date
------	---	-----	-----	------------------------	-------------------------

9. Number of

## 10. Ownership

## 11. Nature of

# Edgar Filing: VOICESTREAM WIRELESS CORP /DE - Form 4

Derivative Securities Beneficially Owned at End of Month (Instr. 4)	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial Ownership (Instr. 4)
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Explanation of Responses:

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

FORM 4

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

[ ] CHECK THIS BOX IF NO  
LONGER SUBJECT TO  
SECTION 16. FORM 4  
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MAY CONTINUE. SEE  
INSTRUCTION 1(b).

(Print or Type Responses)

Filed pursuant to Section 16(a) of the Securities  
Exchange Act of 1934, Section 17(a) of the  
Public Utility Holding Company Act of 1935  
or Section 30(f) of the Investment Company  
Act of 1940

1. Name and Address of Reporting Person* Stanton John W. (Last) (First) (Middle) VoiceStream Wireless Corporation 3650 131st Avenue SE, Ste. 400 (Street)	2. Issuer Name and Ticker or Trading Symbol Voicestream Wireless Corporation (VSTR)	6. R t
3. IRS or Social Security Number of Reporting Person (Voluntary)	4. Statement for Month/Year 4/2001	- -
5. If Amendment,		C

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Bellevue	WA	98006	Date of Original
(City)	(State)	(Zip)	(Month/Year)
USA			7. I

TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIA

1. Title of Security (Instr. 3)	2. Trans- action Date  (Month/ Day/ Year)	3. Trans- action Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficia Owned at End of Mo (Instr. 3)
			Amount (A) or (D)	Price
Common Stock	4/30/2001	S	3,000.00 (1) D	\$105.549
Common Stock	4/30/2001	S	16,151.00 (1) D	\$106.0435
Common Stock	4/2/2001	S	2,300.00 (1) D	\$92.5461
Common Stock	4/3/2001	S	2,300.00 (1) D	\$88.1129
Common Stock	4/4/2001	S	2,300.00 (1) D	\$91.8981
Common Stock	4/5/2001	S	2,300.00 (1) D	\$96.4939
Common Stock	4/6/2001	S	2,300.00 (1) D	\$93.8453
Common Stock	4/9/2001	S	2,300.00 (1) D	\$95.2301
Common Stock	4/10/2001	S	2,300.00 (1) D	\$101.4405
Common Stock	4/11/2001	S	2,300.00 (1) D	\$99.8039

Reminder: Report on a separate line for each class of securities beneficially owned directly or i  
 \*If the form is filed by more than one reporting person, see Instruction 4(b)(v).

## FORM 4 (CONTINUED)

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL  
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

[illegible]

### Explanation of Responses:

# Edgar Filing: VOICESTREAM WIRELESS CORP /DE - Form 4

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/  
-----  
\*\*Si

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----- UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
FORM 4 WASHINGTON, D.C. 20549  
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

[ ] CHECK THIS BOX IF NO  
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Exchange Act of 1934, Section 17(a) of the  
Public Utility Holding Company Act of 1935  
or Section 30(f) of the Investment Company  
Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol	6. R
Stanton	John	W.	Voicestream Wireless Corporation (VSTR)	t
-----			-----	-----
(Last)	(First)	(Middle)	3. IRS or Social Security	4. Statement for
VoiceStream Wireless Corporation			Number of Reporting	Month/Year
3650 131st Avenue SE, Ste. 400			Person	4/2001
-----			(Voluntary)	-----
Bellevue	(Street)	98006		5. If Amendment,
	WA			Date of Original
(City)	(State)	(Zip)		(Month/Year)
USA				-----
				7. I
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## TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL

1. Title of Security (Instr. 3)	2. Trans- action Date  (Month/ Day/ Year)	3. Trans- action Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficial Owned at End of Mo (Instr. 3)
			Amount (A) or Price (D)	
Common Stock	4/12/2001	S	2,300.00 (1) D	\$99.8533
Common Stock	4/15/2001	S	2,300.00 (1) D	\$99.2415

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Common Stock	4/17/2001	S	2,300.00 (1) D	\$97.2928
Common Stock	4/18/2001	S	2,300.00 (1) D	\$103.465
Common Stock	4/19/2001	S	2,300.00 (1) D	\$104.317
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Common Stock	4/24/2001	S	2,300.00 (1) D	\$104.3754
Common Stock	4/25/2001	S	2,300.00 (1) D	\$105.0983
Common Stock	4/26/2001	S	2,300.00 (1) D	\$103.8201

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly by the reporting person.  
 \*If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (CONTINUED)

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED  
 (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7.
				Code V (A) (D)	Date Exercisable	Expiration Date

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9. Number of Derivative Securities Beneficially Owned at End of Month (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
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Explanation of Responses:

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/  
-----  
\*\*Si

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FORM 4  
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

[ ] CHECK THIS BOX IF NO  
LONGER SUBJECT TO  
SECTION 16. FORM 4  
OR FORM 5 OBLIGATIONS

Filed pursuant to Section 16(a) of the Securities  
Exchange Act of 1934, Section 17(a) of the  
Public Utility Holding Company Act of 1935

# Edgar Filing: VOICESTREAM WIRELESS CORP /DE - Form 4

MAY CONTINUE. SEE  
INSTRUCTION 1(b).  
(Print or Type Responses)

or Section 30(f) of the Investment Company  
Act of 1940

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol		6. R
Stanton	John	W.	Voicestream Wireless Corporation (VSTR)		t
-----			-----		
(Last)	(First)	(Middle)	3. IRS or Social Security	4. Statement for	
VoiceStream Wireless Corporation			Number of Reporting	Month/Year	
3650 131st Avenue SE, Ste. 400			Person	4/2001	
-----			(Voluntary)	-----	
	(Street)			5. If Amendment,	C
Bellevue	WA	98006		Date of Original	
-----				(Month/Year)	
(City)	(State)	(Zip)			7. I
USA				-----	(

TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL

1. Title of Security (Instr. 3)	2. Trans- action Date  (Month/ Day/ Year)	3. Trans- action Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at End of Mo (Instr. 3)
			Amount (A) or Price (D)	
Common Stock	4/27/2001	S	2,300.00 (1) D	\$104.1025
Common Stock	4/30/2001	S	11,866.00 (1) D	\$106.0435
Common Stock	4/30/2001	S	2,300.00 (1) D	\$105.549 1,205,460
Common Stock	4/30/2001	S	2,966.00 (1) D	\$106.0435 162.704

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly by the reporting person.  
\*If the form is filed by more than one reporting person, see Instruction 4(b) (v).



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(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7.
			Code V (A) (D)		Date Exercisable	Expiration Date

9. Number of Derivative Securities Beneficially Owned at End of Month (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

Explanation of Responses:

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. /s/ See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). \*\*Si

Note: File three copies of this Form, one of which must be manually signed.

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If space is insufficient, see Instruction 6 for procedure.  
Potential persons who are to respond to the collection of information  
contained in this form are not required to respond unless the form  
displays a currently valid OMB Number.