#### TOWNSEND KENT G

Form 4

January 03, 2006

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * TOWNSEND KENT G			2. Issuer Name <b>and</b> Ticker or Trading Symbol CAPITOL FEDERAL FINANCIAL [CFFN]				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last)	(First) (I	Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/30/2005			Director 10% OwnerX_ Officer (give title Other (specify below)  Executive Vice Pres & CFO					
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of Security (Instr. 3)	any		ned n Date, if Day/Year)	3. Transactio Code (Instr. 8)	Disposed (Instr. 3,	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Capitol Federal Financial							33,910	D			
Capitol Federal Financial							25	I	Custodian for Son		
Capitol Federal Financial							10,969	I	ESOP		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

### Edgar Filing: TOWNSEND KENT G - Form 4

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SEC 1474

(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Sect (Instr. 3 and 4)	
				Code V	(A)	) (D)	Date Exercisable	Expiration Date	Title	An or Nu of
Capitol Federal Financial Incentive Stock Option 8/23/2005	\$ 33.88						<u>(1)</u>	08/23/2015	Capitol Federal Financial Common Stock	14
Capitol Federal Fin Non-Qualified Stock Option (08/23/05	\$ 33.88						(2)	08/23/2020	Capitol Federal Financial Common Stock	1.
Capitol Federal Financial Incentive Stock Option(04/18/2000)	\$ 9.22						(3)	04/18/2010	Capitol Federal Financial Common Stock	1
Capitol Federal Fin Non-Qualified Stock Option (04/18/2000)	\$ 9.22						<u>(4)</u>	04/18/2015	Capitol Federal Financial Common Stock	1
Capitol Federal Financial Phantom Stock 2003	\$ 28.8						<u>(5)</u>	01/25/2006	Capitol Federal Financial Common Stock	
Capitol Federal Financial Phantom Stock 2004	\$ 36.07						<u>(5)</u>	01/25/2007	Capitol Federal Financial	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

TOWNSEND KENT G

Executive Vice Pres & CFO

## **Signatures**

James D. Wempe, Power of Attorney

01/03/2006

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) A total of 2,951 options will vest of each of the following dates; August 23, 2006, August 23, 2007, August 23, 2008, August 23, 2009 and August 23, 2010.
- (2) A total of 3,049 options will vest on each of the following dates, August 23, 2006, August 23, 2007, August 23, 2008, August 23, 2009 and August 23, 2010.
- (3) 10,845 options vested on each of the following dates; April 18, 2000, April 18, 2001, April 18, 2002, April 18, 2003 and April 18, 2004.
- (4) 1,155 options vested on each of the following dates; April 18, 2000, April 18, 2001, April 18, 2002, April 18, 2003 and April 18, 2004.
- (5) Phantom stock units were acquired under the Company's Deferred Incentive Bonus Plan. The units are deemed sold and settled in cash in three years from date of acquisition.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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