Edgar Filing: Seabrooke Christopher Stefan - Form 4

Seabrooke Christopher Stefan Form 4

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|---|--|---|----------|--|-------------|------------------------|--|---|--|---------------------|--|--|
| September 2 | 1, 2018 | | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | | OMB APPROVAL | | | | |
| | UNITED | JIAILO | | hington, | | | | | OMB Number: | 3235-0287 | | |
| Check th if no long | ger STATE | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF | | | | | | | | January 31, 2005 | | |
| subject to Section 1 Form 4 o Form 5 | 6. or | SECURITIES | | | | | | | Estimated average burden hours per response 0. | | | |
| obligatio may cont | obligations may continue. See InstructionSection 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | n | | | |
| (Print or Type I | Responses) | | | | | | | | | | | |
| Seabrooke Christopher Stefan Symbol | | | | Name and JEPS TEO | | | - | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | INC [UI | | CHNOLC | JUIE | 3 | (Check all applicable) | | | | | | |
| (Last) (First) (Middle) 3. Date of (Month/D | | | | f Earliest Transaction Day/Year) | | | | X_ Director 10% Owner Officer (give title Other (specify below) below) | | | | |
| | RCE SQUARE 3 ROAD,, SANDH | | 09/20/20 |)18 | | | | | | | | |
| | | | | ndment, Dat th/Day/Year) | - | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| GAUTENG | , T3 2196 | | | | | | | Form filed by M Person | Iore than One Re | porting | | |
| (City) | (State) | (Zip) | Table | e I - Non-D | erivative S | ecuri | ties Acq | uired, Disposed of | , or Beneficial | ly Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Da (Month/Day/Year | ned n Date, if Day/Year) | Code | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Indirect Beneficial | | | |
| | | | | Code V | Amount | or (D) | Price \$ | Transaction(s) (Instr. 3 and 4) | | | | |
| Common Stock | 09/20/2018 | | | Р | 20,000 | А | φ 7.48 (1) | 270,000 | Ι | See footnote (2) | | |
| Common Stock | | | | | | | | 18,124 | D | | | |
| | | | | | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | onversion (Month/Day/Year) Execution Exercise any ice of (Month/D erivative | | 4. Transactie Code (Instr. 8) | 5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | Date | Amou Unde Secur | tle and unt of crlying rities :. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|--|---------|--|---|---------------------|--------------------|-----------------------|---|---|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |
| Reporting Owners | | | | | | | | | | | |
| | Reporting Owner Name / Address | | 58 | Relationships | | | | | | | |
| | | | Dire | ector 10% | % Owner | Officer Oth | | | | | |
| 4 COMM SANDHU | e Christoph ERCE SQU JRST, SAN JG, T3 2196 | JARE 39 RIVONI DTON | A ROAD, | Х | | | | | | | |
| Signa | tures | | | | | | | | | | |
| /s/ Alex M.R. Smith, Attorney-in-Fact for Christopher Stefan Seabrooke | | | | | 09/21/ | 2018 | | | | | |

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Purchase prices for the transactions reported here range from \$7.35 to \$7.50. Full information regarding the number of shares purchased at each separate price will be provided to the SEC, the issuer or its shareholders upon request.

A trust, settled by a relative of the reporting person and of which the reporting person is a discretionary beneficiary, is a shareholder of the company whose wholly owned subsidiary acquired the common stock. The trust beneficially owns 35% of the company that holds the

Date

(2) common stock and has the right to vote 69% of the issued shares of the company. The reporting person is one of four trustees of the trust, and all matters voted on require a simple majority. The reporting person is the chief executive of the company that holds the common stock. The reporting person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.