#### NU SKIN ENTERPRISES INC

Form 4/A August 13, 2014

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \*

Chard Daniel R

(Last)

(First) (Middle)

C/O NU SKIN ENTERPRISES.

INC., 75 WEST CENTER STREET (Street)

PROVO, UT 84601

2. Issuer Name and Ticker or Trading

Symbol

NU SKIN ENTERPRISES INC [NUS]

3. Date of Earliest Transaction (Month/Day/Year)

05/12/2014

4. If Amendment, Date Original

Filed(Month/Day/Year)

05/12/2014

**OMB APPROVAL** 

**OMB** Number:

3235-0287

January 31, Expires:

Estimated average burden hours per

response...

0.5

2005

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

below) President

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(State) (Zip) (City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

4. Securities 3. TransactionAcquired (A) or Code (Instr. 8)

Disposed of (D) (Instr. 3, 4 and 5)

Code V Amount (D) Price

5. Amount of Securities Beneficially Owned Following Reported

Transaction(s)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

(A)

or

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(Instr. 3 and 4)

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion

3. Transaction Date 3A. Deemed

5. (Month/Day/Year) Execution Date, if TransactionNumber

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of 8. F Underlying Securities

#### Edgar Filing: NU SKIN ENTERPRISES INC - Form 4/A

(Ins

| Security (Instr. 3)                              | or Exercise<br>Price of<br>Derivative<br>Security |            | any<br>(Month/Day/Year) | Code<br>(Instr. 8 | 3) | of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     | ear)               | (Instr. 3 and              | 4)                                     | ( |
|--|---|------------|-------------------------|-------------------|----|---|---------------------|--------------------|----------------------------|--|---|
|  |   |            |                         | Code              | V  | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title                      | Amount<br>or<br>Number<br>of<br>Shares |   |
| Employee<br>Stock<br>Option<br>(right to<br>buy) | \$ 77.65  | 05/12/2014 |                         | A                 |    | 0 (1)   | 05/12/2014          | 07/15/2020         | Class A<br>Common<br>Stock | 0 (1)                                  |   |

# **Reporting Owners**

| Keiationships |
|---------------|
|               |

Director 10% Owner Officer Other

Daladianahina

Chard Daniel R

C/O NU SKIN ENTERPRISES, INC.

President 75 WEST CENTER STREET

PROVO, UT 84601

## **Signatures**

/s/ Clayton Jones as Attorney-in-Fact for Daniel R. 08/13/2014 Chard

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On July 15, 2013, the reporting person was granted a performance-vesting stock option to purchase 75,000 shares of Class A Common Stock, which vests in four equal tranches based on Nu Skin Enterprises, Inc.'s satisfaction of certain performance criteria. On May 12, 2014, the reporting person reported the vesting of 18,750 performance-vesting stock options based on the determination of the Issuer's

(1) Compensation Committee that the relevant performance criteria had been satisfied. However, due to the August 12, 2014 restatement of the Issuer's consolidated financial statements for the quarter ended March 31, 2014, the Issuer's Compensation Committee determined that the relevant performance criteria had not been satisfied and that the 18,750 performance-vesting stock options remain unvested and are not currently reportable. Accordingly, this amendment is being filed to eliminate the previously reported vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2