GERSHWIND ERIK

Form 5

October 03, 2018

FORM 5

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0362 Number:

Check this box if no longer subject to Section 16. Form 4 or Form

January 31, Expires: 2005 Estimated average

1.0

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

burden hours per response...

5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported Form 4

(Last)

30(h) of the Investment Company Act of 1940

Transactions Reported

1. Name and Address of Reporting Person * **GERSHWIND ERIK**

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Symbol

MSC INDUSTRIAL DIRECT CO

(Check all applicable)

INC [MSM]

3. Statement for Issuer's Fiscal Year Ended

(Month/Day/Year)

X Director 10% Owner _X__ Officer (give title Other (specify

09/01/2018

below)

Issuer

below) President and CEO

C/O MSC INDUSTRIAL DIRECT CO., INC., Â 75 MAXESS ROAD

(First)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

MELVILLE, ÂNYÂUS 11747

X Form Filed by One Reporting Person Form Filed by More than One Reporting

(City)

1. Title of

Security

(Instr. 3)

(State)

(Month/Day/Year)

(Zip)

Execution Date, if

(Month/Day/Year)

2. Transaction Date 2A. Deemed

(Middle)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Code

(Instr. 8)

Transaction

4. Securities Acquired (A) or

5. Amount of Securities Beneficially

Owned at end

6. Ownership Form: Direct (D) or Indirect (I)

(Instr. 4)

7. Nature of Indirect Beneficial Ownership (Instr. 4)

(Instr. 3, 4 and 5) (A) or

Amount (D) Price

Disposed of (D)

of Issuer's Fiscal Year

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion 3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if

Transaction

Number of Derivative

6. Date Exercisable and **Expiration Date**

7. Title and Amount of **Underlying Securities**

Edgar Filing: GERSHWIND ERIK - Form 5

Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year)		(Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Class B Common Stock	Â	06/18/2018	Â	G	Â	7,018	(1)	(1)	Class A Common Stock, \$0.001 par value	7,018
Class B Common Stock	Â	06/18/2018	Â	G	7,019	Â	(1)	(1)	Class A Common Stock, \$0.001 par value	7,019
Class B Common Stock	Â	07/05/2018	Â	G	Â	9,313	(1)	(1)	Class A Common Stock, \$0.001 par value	9,313
Class B Common Stock	Â	Â	Â	Â	Â	Â	(1)	(1)	Class A Common Stock, \$0.001 par value	874,54

Relationships

Reporting Owners

Reporting Owner Name / Address	Director	10% Owner	Officer	Othe
GERSHWIND ERIK C/O MSC INDUSTRIAL DIRECT CO., INC. 75 MAXESS ROAD MELVILLE Â NVÂ LIS 11747	ÂΧ	Â	President and CEO	Â

Signatures

/s/ Erik
Gershwind

**Signature of Reporting Person

10/03/2018

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

Edgar Filing: GERSHWIND ERIK - Form 5

- (1) The Class B Common Stock is convertible immediately on a one-for-one basis into shares of Class A Common Stock and does not expire.
- (2) Reflects a distribution of 32,653 shares of Class B Common Stock to Mr. Gershwind as an annuity payment from a Grantor Retained Annuity Trust of which the Reporting Person is the sole annuitant and trustee.
- (3) Represents shares of Class B Common Stock held by trusts of which the Reporting Person is the sole annuitant and trustee. The Reporting Person disclaims beneficial ownership of the shares held by such trusts.
- (4) Represents shares of Class B Common Stock held by a trust of which the Reporting Person is a beneficiary and co-trustee. The Reporting Person disclaims beneficial ownership of the shares held by such trust.
- (5) Includes 32,653 shares of Class B Common Stock distributed to Mr. Gershwind as an annuity payment from a Grantor Retained Annuity Trust of which the Reporting Person is the sole annuitant and trustee.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.