**BRAM CRAIG C** Form 4 June 25, 2018

FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

January 31, Expires: 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per 0.5 response...

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * BRAM CRAIG C			2. Issuer Name and Ticker or Trading Symbol SYNALLOY CORP [SYNL]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check an applicable)		
4510 COX ROAD, SUITE 201			(Month/Day/Year) 06/20/2018	_X_ Director 10% Owner _X_ Officer (give title Other (specify below)  CEO & President		
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
GLEN ALLEN	, VA 23060	)	Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Tabl	e I - Non-I	<b>Derivative</b>	Secur	ities Acqu	iired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit on(A) or Di (Instr. 3,	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	06/20/2018		M	36,710	A	\$ 11.55	242,241	D	
Common Stock	06/20/2018		F	27,305	D	\$ 20.35	214,936	D	
Common Stock							28,763	I	Spouse
Common Stock							12,609	I	IRA
Common Stock							3,150	I	401(k) Trust

### Edgar Filing: BRAM CRAIG C - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	orDerivative Securities		ve Expiration Date es (Month/Day/Year) d (A) sed of		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 11.55	06/20/2018		M		36,710	<u>(1)</u>	01/24/2021	Common Stock	36,710
Employee Stock Option (right to buy)	\$ 11.345						<u>(1)</u>	02/09/2022	Common Stock	108,264
Employee Stock Option (right to buy)	\$ 13.7						<u>(1)</u>	02/07/2023	Common Stock	6,843
Employee Stock Option (right to buy)	\$ 16.01						<u>(1)</u>	02/10/2025	Common Stock	5,075

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
Troporting of their remarks	Director	10% Owner	Officer	Other				
BRAM CRAIG C 4510 COX ROAD, SUITE 201	X		CEO & President					
GLEN ALLEN. VA 23060								

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Date

## **Signatures**

Sally M. Cunningham, Power of Attorney for Craig C.
Bram
06/25/2018

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest in equal installments of 20% beginning one year from date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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