## Edgar Filing: UMBRIANO JOSEPH P - Form 3

## UMBRIANO JOSEPH P

Form 3

|  | Apı | ril | 08, | 20 | 03 |
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|--|-----|-----|-----|----|----|

| FORM 3   | NITED      | FED STATES SECURITIES AND EXCHANGE COMMISSION   |                      |           |  |  | OMB APPROVAL  |                       |  |
|--|------------|---|----------------------|-----------|--|--|---|-----------------------|--|
|  |            |   | Washington, DC 20549 |           |  |  |   | OMB Number: 3235-0104 |  |
|  |            |   |                      |           |  | Expires: January 31, 2005  |   |                       |  |
| (Print or Type   | Filed pure | NITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES                                |                      |           |  |  | Estimated avera<br>hours per respor   |                       |  |
| (Finit of Type<br>Responses)                                 |            |   |                      |           |  |  |   |                       |  |
| 1. Name and Address of Reporting Person*<br>Umbriano, Joseph |            | 2. Date of Event<br>Requiring<br>Statement<br>(Month/Day/Year)<br><b>3/13/02</b>      |                      |           | ime and Ticker or Trading Sy<br>tomotive Group, Inc. [NYS      |  |   |                       |  |
| (Last) (First)<br>1794 Markham G                             | × ×        | Middle) 3. I.R.S.<br>Identification<br>Number of<br>Reporting Person, if<br>an entity |                      |           | to Issuer  | hip of Reporting Person(s)<br>(Check all applicable)<br>or [X] 10% | 6. If Amendment, Date of<br>Original (Month/Year)   |                       |  |
| (Street)   |            |   | (voluntary)          |           | Owner [_] Officer (give Below) [_] Other (specify title below) |  | <ul> <li>7. Individual or Joint/Group<br/>Filing<br/>(Check Applicable Line)</li> <li>[X] Form filed by One<br/>Reporting Person</li> <li>[_] Form filed by More than<br/>One Reporting Person</li> </ul> |                       |  |
| Longwood<br>32779-2797                                       | FL         |   |                      |           |  |  |   |                       |  |
| (City) (State) (Zip)   |            |   | Table I              | Non-De    | rivative Securities Be   | neficially Ow  | ned   |                       |  |
| 1. Title of Security<br>(Instr.4)                            |            | 2. Amo<br>Securiti<br>Benefic<br>Owned  | ies                  | Direct (E | rship Form:<br>)) or<br>(I) (Instr. 5)                         | 4. Nature of Indirect Benefi<br>(Instr. 5)                         | cial Ownership  |                       |  |
| Common Stock, par valu<br>per share                          | e \$0.01   | 8,703.0   | 00(1)                | (D)       |  |  |   |                       |  |
|  |            |   |                      |           |  |  |   |                       |  |
|  |            |   |                      |           |  |  |   |                       |  |
|  |            |   |                      |           |  |  |   |                       |  |
|  |            |   |                      |           |  |  |   |                       |  |
|  |            |   |                      |           |  |  |   |                       |  |

(1) Joseph Umbriano could be deemed to be part of a group as defined in Section 13(d) of the Exchange Act that owns approximately 79% of the

outstanding common stock of Asbury Automotive Group, Inc. based on voting arrangements in a shareholders agreement. Joseph Umbriano expressly disclaims beneficial ownership of the shares of Asbury Automotive Group, Inc. beneficially owned by all other parties to the shareholders agreement. Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

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(Over) SEC1473 (7-02)

| (continued)                                    | (0  | g., pato,               | cans, warrants, optio   |   |   | antico)   |  |
|--|---|-------------------------|---|---|---|---|--|
| 1.Title of Derivative<br>Security<br>(Instr.4) | 2.Date Exer-<br>cisable and<br>Expiration<br>Date<br>(Month/Day/Year) |                         | <ol> <li>Title and Amount of Sect<br/>Underlying Derivative Sect</li> <li>4)</li> </ol> | Conver-shsion orFoExerciseDePrice ofati | 5. Owner-<br>ship<br>Form of<br>Deriv-<br>ative | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |  |
|  | Date<br>Exer-<br>cisable  | Expira-<br>tion<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares  | Deriv-<br>ative<br>Security                     | Security:<br>Direct (D)<br>or<br>Indirect (I)<br>(Instr. 5) |  |
| Options on Common<br>Stock                     | 3/13/03   | 3/13/12                 | Common Stock  | 1,515.00                                | \$16.50   | (D)   |  |
|  |   |                         |   |   |   |   |  |
|  |   |                         |   |   |   |   |  |
|  |   |                         |   |   |   |   |  |
|  |   |                         |   |   |   |   |  |
|  |   |                         |   |   |   |   |  |

## FORM 3 Table II Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) (continued)

Explanation of Responses:

\*\*

Note:

(1) Vesting 1/3, 1/3, 1/3 each year. The first 1/3 vest date is listed.

|  | /s/ Joseph Umbriano             | 4/1/03 |
|--|---------------------------------|--------|
|  | **Signature of Reporting Person | Date   |
| Intentional misstatements or omissions of facts constitute Federal Criminal Violations. <i>See</i> 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). |                                 |        |
| File three copies of this Form, one of which must be manually signed.<br>If space provided is insufficient.                              |                                 |        |

If space provided is insu see Instruction 6 for procedure.

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