Edgar Filing: CORNING INC /NY - Form 4

CORNING I Form 4	NC /NY								
February 03,	2017								
FORM	14								PPROVAL
	UNITED	STATES		RITIES A shington			E COMMISSIO	N OMB Number:	3235-0287
Check thi if no long subject to Section 1 Form 4 o Form 5 obligation may cont <i>See</i> Instru 1(b).	6. Filed pur Section 17(rsuant to S (a) of the F	ection Public U	SECUI 16(a) of th Jtility Hol	RITIES ne Securi lding Cor	ties Excha	WNERSHIP OF nge Act of 1934, of 1935 or Secti	Estimated burden hou response	urs per
(Print or Type F	Responses)								
1. Name and A BLAIR DO	ddress of Reporting NALD W	Person <u>*</u>	Symbol	er Name an ING INC		-	5. Relationship o Issuer	of Reporting Per	rson(s) to
(Lest)	(Einst)	Middle)			_	7 **]	(Cho	eck all applicabl	e)
(Last)	(First) (Middle) A		of Earliest T Day/Year) 2017	ransaction		X Director Officer (giv below)		% Owner her (specify
CODVIDIC	(Street)			endment, D onth/Day/Yea	-	ıl	6. Individual or Applicable Line) _X_ Form filed by Form filed by	-	erson
CORNING,	NY 14831						Person		epotting
(City)	(State)	(Zip)	Tab	ole I - Non-J	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned
	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	Code (Instr. 8)	4. Securit nAcquired Disposed (Instr. 3, 4 Amount	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Reminder: Rep	ort on a separate line	e for each cla	ass of sec	urities bene	ficially ow	ned directly	or indirectly.		
1					Perso inform requir	ns who res nation con red to resp ays a curre	spond to the colle tained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Pr
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securities	Deri
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired			(Inst

	Deriva Securi					(A) or Dispose (D) (Instr. 3 and 5)					
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	<u>(1</u>	<u>)</u>	02/01/2017	А		5,914		(2)	(2)	Common Stock	5,914
Restricted Stock Unit	<u>(3</u>	<u>)</u>						<u>(4)</u>	(4)	Common Stock	16,906

Reporting Owners

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
BLAIR DONALD W ONE RIVERFRONT PLAZA CORNING, NY 14831	Х			
Signatures				
Linda E. Jolly, Power of Attorney	()2/03/2017		
<u>**</u> Signature of Reporting Person		Date		

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents annual equity retainer in the form of restricted stock units granted under the 2010 Equity Plan For Non-Employee Directors. Each restricted stock unit represents a contingent right to receive one share of Corning Incorporated Common Stock.
- (2) Conversion of restricted stock units to the Company's common stock and distribution of such stock is deferred until a specific date as elected by the participant or termination of service as a Corning director.
- (3) Represents restricted stock units granted under the Non-Employee Directors' Deferred Commpensation Plan. Each restricted stock unit represents a contingent right to receive one share of Corning Incorporated Common Stock.
- (4) Conversion of restricted stock units to the Company's common stock and distribution of such stock under the Non-Employee Directors' Deferred Compensation Plan is deferred until a specific date as elected by the participant or termination of service as a Corning director.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.