

Edgar Filing: NATCO GROUP INC - Form 8-K

NATCO GROUP INC  
Form 8-K  
August 15, 2002

SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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FORM 8-K

CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d)  
OF THE SECURITIES EXCHANGE ACT OF 1934

DATE OF REPORT (DATE OF EARLIEST EVENT REPORTED): AUGUST 14, 2002

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NATCO GROUP INC.  
(EXACT NAME OF REGISTRANT AS SPECIFIED IN ITS CHARTER)

|   |  |   |
|---|--|---|
| DELAWARE<br>(State or other jurisdiction<br>of incorporation or organization) | 1-15603<br>(Commission File<br>Number) | 22-2906892<br>(I.R.S. Employer<br>Identification No.) |
|---|--|---|

|  |                     |
|--|---------------------|
| BROOKHOLLOW CENTRAL III<br>2950 NORTH LOOP WEST<br>SUITE 750<br>HOUSTON, TEXAS<br>(Address of principal executive offices) | 77092<br>(Zip code) |
|--|---------------------|

REGISTRANT'S TELEPHONE NUMBER, INCLUDING AREA CODE: (713) 683-9292

ITEM 7. FINANCIAL STATEMENTS, PRO FORMA FINANCIAL INFORMATION AND EXHIBITS.

c. Exhibits.

- 99.1 Certification of the Company's Chief Executive Officer required pursuant to Section 906 of the Sarbanes-Oxley Act of 2002, as submitted to the Securities and Exchange Commission on August 14, 2002.
- 99.2 Certification of the Company's Chief Financial Officer required pursuant to Section 906 of the Sarbanes-Oxley Act of 2002, as submitted to the Securities and Exchange Commission on August 14, 2002.

ITEM 9. REGULATION FD DISCLOSURE

On August 14, 2002, NATCO Group Inc. (the "Company") submitted to the Securities and Exchange Commission the certification of the Company's Quarterly Report on Form 10-Q for the quarterly period ended June 30, 2002 by its chief executive officer and chief financial officer as required pursuant to Section 906 of the Sarbanes-Oxley Act of 2002.

SIGNATURES

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Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

NATCO GROUP INC.

By: /s/ J. MICHAEL MAYER  
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Name: J. Michael Mayer  
Title: Senior Vice President and  
Chief Financial Officer

Dated: August 15, 2002

EXHIBIT INDEX

| Exhibit No.<br>----- | Description<br>-----  |
|----------------------|---|
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