Edgar Filing: TRI-CONTINENTAL CORP - Form 8-K

TRI-CONTINENTAL CORP Form 8-K December 03, 2010

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934 DATE OF REPORT (DATE OF EARLIEST EVENT REPORTED): December 3, 2010

TRI-CONTINENTAL CORPORATION

(EXACT NAME OF REGISTRANT AS SPECIFIED IN ITS CHARTER)

MARYLAND (STATE OR OTHER **JURISDICTION**

811-00266

13-5441850

(COMMISSION FILE NUMBER)

(I.R.S. EMPLOYER

OF INCORPORATION)

IDENTIFICATION NO.)

734 Ameriprise Financial Center, MINNEAPOLIS, MINNESOTA 55474

(ADDRESS OF PRINCIPAL EXECUTIVE OFFICES, ZIP CODE)

REGISTRANT S TELEPHONE NUMBER, INCLUDING AREA CODE 1-800-221-2450 **NOT APPLICABLE**

(FORMER NAME OR FORMER ADDRESS, IF CHANGED SINCE LAST REPORT.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Edgar Filing: TRI-CONTINENTAL CORP - Form 8-K

2

SECTION 7 REGULATION FD DISCLOSURE

Item 7.01 Regulation FD Disclosure.

Registrant is furnishing as Exhibit 99.1 the attached Press Release dated December 3, 2010 for Tri-Continental Corporation.

SECTION 9 FINANCIAL STATEMENTS AND EXHIBITS

Item 9.01 Financial Statements and Exhibits.

Exhibit 99.1 Press Release dated December 3, 2010 for Tri-Continental Corporation.

Edgar Filing: TRI-CONTINENTAL CORP - Form 8-K

3

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

TRI-CONTINENTAL CORPORATION

Date: December 3, 2010

By: /s/ Joseph D Alessandro Joseph D Alessandro Assistant Secretary