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PIPER JAFFRAY COMPANIES Form 13F-NT

November 04, 2010

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Form 13F

FORM 13F COVER PAGE			
Report for the Calendar Year or Quarter Ended: September 30, 2010 Check here if Amendment []; Amendment Number: This Amendment (Check only one.): [] is a restatement. [] adds new holdings entries.			
			Institutional Investment Manager Filing this Report:
			Name: Piper Jaffray Companies Address: 800 Nicollet Mall Suite 800 Minneapolis, MN 55402
Form 13F File Number: 28-10776			
The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.			
Person Signing this Report on Behalf of Reporting Manager:			
Name: Ann C. McCague Title: Chief Compliance Officer Phone: 612-303-6359			
Signature, Place, and Date of Signing:			
/s/ Ann C. McCague Minneapolis, MN 11/2/10			
[Signature] [City, State] [Date]			
Report Type (Check only one.):			
[] 13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)			
[X] 13F NOTICE. (Check here if no holdings reported are in this report, and al holdings are reported by other reporting manager(s).)			
[] 13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported b other reporting manager(s).)			

List of Other Managers Reporting for this Manager:

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[If there are no entries in this list, omit this section.]

Form 13F File Number	Name
28-05637	Advisory Research Inc.
28-05030	Fiduciary Asset Management, LLC