

LEVIN CAPITAL STRATEGIES, L.P.

Form 4

September 27, 2018

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
LEVIN CAPITAL STRATEGIES,  
L.P.

(Last) (First) (Middle)

595 MADISON AVENUE, 17TH  
FLOOR

(Street)

NEW YORK, NY 10022

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol  
FLUIDIGM CORP [FLDM]

3. Date of Earliest Transaction  
(Month/Day/Year)  
09/25/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_X\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_X\_\_ Other (specify  
below)

Passive Investor

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)        | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect Beneficial<br>Ownership<br>(Instr. 4)                    |
|--|---|---|--------------------------------------|---|--|---|---|
| Common<br>Stock <sup>(1)</sup><br><u>(2)</u> | 09/25/2018                              |   | P                                    | 2,940 A<br>\$<br>7.975<br><u>(10)</u>                                   | 11,296,798   | I   | By: Managed<br>Accounts of<br>Levin Capital<br>Strategies, L.P.<br><u>(3) (4)</u> |
| Common<br>Stock <sup>(1)</sup><br><u>(2)</u> |   |   |                                      |   | 95,606   | I   | By:<br>Bi-Directional<br>Disequilibrium<br>Fund, L.P. <sup>(6)</sup>              |
| Common<br>Stock <sup>(1)</sup><br><u>(2)</u> |   |   |                                      |   | 1,305,269  | I   | By:<br>Transamerica<br>Large Cap  |

|  |        |   |   |
|--|--------|---|---|
| Common<br>Stock <u>(1)</u><br><u>(2)</u> | 56,820 | I | Value Fund <u>(5)</u><br>By: Levcap<br>Alternative<br>Fund, L.P. <u>(7)</u> |
| Common<br>Stock <u>(1)</u><br><u>(2)</u> | 19,818 | I | By: Safinia<br>Partners, L.P.<br><u>(8)</u>                                 |
| Common<br>Stock <u>(1)</u><br><u>(2)</u> | 5,000  | I | By: Spouse of<br>John A. Levin<br><u>(9)</u>                                |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repor<br>Trans<br>(Instr |
|---|--|---|---|--------------------------------------|--|--|---|---|---|
|   |  |   |   | Code                                 | V (A) (D)  | Date<br>Exercisable  | Expiration<br>Date  | Title   | Amount<br>or<br>Number<br>of<br>Shares                                      |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships                    |
|--|----------------------------------|
|  | Director 10% Owner Officer Other |
| LEVIN CAPITAL STRATEGIES, L.P.<br>595 MADISON AVENUE<br>17TH FLOOR<br>NEW YORK, NY 10022   | X Passive Investor               |
| Levin Capital Strategies GP, LLC<br>595 MADISON AVENUE<br>17TH FLOOR<br>NEW YORK, NY 10022 | X Passive Investor               |

Bi-Directional Disequilibrium Fund, L.P.

595 MADISON AVENUE  
17TH FLOOR  
NEW YORK, NY 10022

X

Passive Investor

Levcap Alternative Fund, L.P.

595 MADISON AVENUE  
17TH FLOOR  
NEW YORK, NY 10022

X

Passive Investor

LCS Event Partners, LLC

595 MADISON AVENUE  
17TH FLOOR  
NEW YORK, NY 10022

X

Passive Investor

Safinia Partners, L.P.

595 MADISON AVENUE  
17TH FLOOR  
NEW YORK, NY 10022

X

Passive Investor

LCS L/S, LLC

595 MADISON AVENUE  
17TH FLOOR  
NEW YORK, NY 10022

X

Passive Investor

LEVIN JOHN A

595 MADISON AVENUE  
17TH FLOOR  
NEW YORK, NY 10020

X

Passive Investor

## Signatures

Levin Capital Strategies, L.P.; By: /s/ John A. Levin, Chief Executive Officer

09/27/2018

\_\_Signature of Reporting Person

Date

Levin Capital Strategies GP, LLC; By: John A. Levin 2005 GRAT Separation Trust; By: /s/ Elisabeth Levin, Trustee

09/27/2018

\_\_Signature of Reporting Person

Date

Bi-Directional Disequilibrium Fund, L.P.; By: LCS; By: /s/ John A. Levin, Managing Member

09/27/2018

\_\_Signature of Reporting Person

Date

Levcap Alternative Fund, L.P.; By: LCS Event Partners, LLC; By: /s/ John A. Levin, Managing Member

09/27/2018

\_\_Signature of Reporting Person

Date

LCS Event Partners, LLC; By: /s/ John A. Levin, Managing Member

09/27/2018

\_\_Signature of Reporting Person

Date

Safinia Partners, L.P.; By: LCS L/S, LLC; By: /s/ John A. Levin, Managing Member

09/27/2018

\_\_Signature of Reporting Person

Date

LCS L/S, LLC; By: /s/ John A. Levin, Managing Member

09/27/2018

\_\_Signature of Reporting Person

Date

/s/ John A. Levin

09/27/2018

\_\_Signature of Reporting Person

Date

**Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This Form 4 is filed jointly by Levin Capital Strategies, L.P. ("LCS"), Levin Capital Strategies GP, LLC ("LCS GP"), Bi-Directional Disequilibrium Fund, L.P. ("BDD")(formerly known as Levin Capital Trilogy Master Fund, Ltd.), Levcap Alternative Fund, L.P. ("Levcap"), LCS Event Partners, LLC ("LCSEP"), Safinia Partners, L.P. ("Safinia"), LCS L/S, LLC ("LCSLS"), and John A. Levin (collectively, the "Reporting Persons"). The Reporting Persons are passive investors in the Issuer and are voluntarily filing this Form 4 as a result of acquiring over 20% of the Issuer's outstanding shares of Common Stock. The Reporting Persons have not acquired the shares with a purpose or effect of changing or influencing control of the Issuer.

(2) Pursuant to Rule 16a-1(a)(4) under the Securities Exchange Act of 1934, as amended (the "Exchange Act"), this filing shall not be construed as an admission that the Reporting Persons are, for purposes of Section 16 of the Exchange Act or otherwise, the beneficial owners of any of the securities reported herein under Section 16 or otherwise. Each of the Reporting Persons specifically disclaims beneficial ownership of the securities reported herein that are not directly owned by such Reporting Person, except to the extent of their pecuniary interest therein.

(3) Shares held in certain separately managed accounts (the "Managed Accounts"). LCS, as the investment manager to the Managed Accounts, may be deemed to beneficially own such shares. LCS does not have a reportable pecuniary interest under Section 16a-1(a)(2) of the Exchange Act in the shares held in the Managed Accounts, as LCS receives an asset-based management fee for serving as investment manager to the Managed Accounts, except that with respect to 127,460 shares held in the Managed Accounts, LCS receives a performance-based incentive fee. The performance-based incentive fee LCS receives also does not constitute a reportable pecuniary interest under Section 16a-1(a)(2) of the Exchange Act, as such fee is based on the performance of the overall account, over a period of one year or more, and the shares of the Issuer held in such accounts constitute no more than 10% of the market value thereof.

(4) For the reasons set forth in footnote 3, none of LCS GP, BDD, Levcap, LCSEP, Safinia, LCSLS and Mr. Levin have a reportable pecuniary interest in the shares held in the Managed Accounts.

(5) Shares held by the separate managed account Transamerica Large Cap Value Fund ("Transamerica"). LCS, as the sub-investment advisor to Transamerica, may be deemed to share voting and dispositive power over the shares held by Transamerica. LCS receives an asset-based management fee for serving as sub-investment advisor to Transamerica. Accordingly, LCS does not have a reportable pecuniary interest in the shares held by Transamerica. In addition, none of LCS GP, BDD, Levcap, LCSEP, Safinia, LCSLS and Mr. Levin have a reportable pecuniary interest in the shares held by Transamerica.

(6) Shares owned directly by BDD. LCS, as the investment advisor of BDD, may be deemed to share voting and dispositive power over the shares owned directly by BDD. Mr. Levin, as the Chief Executive Officer of LCS, may be deemed to share voting and dispositive power over the shares owned directly by BDD.

(7) Shares owned directly by Levcap. LCSEP, as the general partner of Levcap, may be deemed to share voting and dispositive power over the shares owned directly by Levcap. LCS, as the investment advisor of Levcap, may be deemed to share voting and dispositive power over the shares owned directly by Levcap. Mr. Levin, as the Chief Executive Officer of LCS, may be deemed to share voting and dispositive power over the shares owned directly by Levcap.

(8) Shares owned directly by Safinia. LCSLS, as the general partner of Safinia, may be deemed to share voting and dispositive power over the shares owned directly by Safinia. LCS, as the investment advisor of Safinia, may be deemed to share voting and dispositive power over the shares owned directly by Safinia. Mr. Levin, as the Chief Executive Officer of LCS, may be deemed to share voting and dispositive power over the shares owned directly by Safinia.

(9) Shares owned directly by the spouse of Mr. Levin. Mr. Levin has investment discretion over such shares.

(10) The price reported is a weighted average price. The reported shares were purchased in multiple transactions at prices ranging from \$7.975 to \$7.99. The Reporting Persons undertake to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote 10.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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