

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

NOMURA HOLDINGS INC  
Form 13F-HR  
May 11, 2009

OMB APPROVAL

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OMB Number:  
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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 13F

FORM 13f Cover Page

Report for the Calendar Year or Quarter Ended March 31, 2009

If amended report check here: ☐ Amendment Number: \_\_\_\_\_

This Amendment (Check only one): ☐ is a restatement  
☐ adds new holding  
entries.

PRIOR FILINGS FOR THE NOMURA GROUP WERE REPORTED UNDER THE NAME NOMURA SECURITIES COMPANY, WITH CIK NUMBER 0000110097. SUBSEQUENT FILINGS WILL BE MADE UNDER THE NAME NOMURA HOLDINGS, INC., CIK NUMBER 0001163653. NOMURA HOLDINGS, INC. (NHI) IS THE PARENT HOLDING COMPANY OF A NUMBER OF OPERATING SUBSIDIARIES, SOME OF WHICH ARE OR MAY BE INSTITUTIONAL INVESTMENT MANAGERS FOR THE PURPOSES OF THE REPORTING REQUIREMENTS UNDER SECTION 13(F) OF THE SECURITIES EXCHANGE ACT OF 1934 (THE ACT) AND THE RULES PROMULGATED THEREUNDER. NHI ITSELF DOES NOT DIRECTLY EXERCISE INVESTMENT DISCRETION WITH RESPECT TO ANY SECTION 13(F) SECURITIES POSITIONS. IN THAT NHI'S OWNERSHIP INTEREST IN SUCH OPERATING SUBSIDIARIES IS DEEMED THE EXERCISE OF INVESTMENT DISCRETION REGARDING CERTAIN OF SUCH SUBSIDIARIES' MANAGED ACCOUNTS, THE INFORMATION REQUIRED BY FORM 13F IS REPORTED BY NHI ON BEHALF OF CERTAIN OF NHI'S OPERATING SUBSIDIARIES WHICH ARE INSTITUTIONAL INVESTMENT MANAGERS SUBJECT TO SECTION 13(F) OF THE ACT.

Nomura Holdings Inc.

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Name of Institutional Investment Manager

1-9-1, Nihonbashi, Chuo-ku, Tokyo 103-8011, Japan  
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Business Address (Street) (City) (State) (Zip)

13F File Number: 001-15270

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized

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to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Brendan G. Daly                      Head of Compliance and Surveillance 212-667-1246

| Name | (Title) | (Phone) |
|------|---------|---------|
|------|---------|---------|

/s/ Brendan G. Daly

(Manual Signature of Person Duly  
Authorized to Submit This Report)

New York, NY May 11, 2009

(Place and Date of Signing)

Report Type:

[ ] 13F HOLDINGS REPORT.

[ ] 13F NOTICE.

[X] 13F COMBINATION REPORT.

## Form 13F SUMMARY PAGE

## Report Summary:

Number of Other Included Managers: 4

Form 13F Information Table Entry Total: 32

Form 13F Information Table Value Total: \$29,438  
(thousands)

List of Other Included Managers:

Name and 13F file numbers of ALL Institutional Investment Managers with respect to which this schedule is filed (other than the one filing this report): (List in alphabetical order).

13F File No.: Name:

6. 28-05739 Global Funds Management, S.A.

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2. 28-04985 Nomura International plc  
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4. 28-04993 Nomura Securities (Bermuda)  
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1. 28-04983 Nomura Securities International, Inc.  
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## FORM 13F

### INFORMATION TABLE

#### QUARTER ENDING

3/31/2009

| NAME OF ISSUER               | TITLE<br>OF CLASS | CUSIP     | VALUE<br>X1000 | SHARES<br>PRN AMT | SH<br>PRN | PUT<br>CALL | INVTMT<br>DSCRETN | OT<br>MAN |
|------------------------------|-------------------|-----------|----------------|-------------------|-----------|-------------|-------------------|-----------|
| 0AIRMEDIA GROUP INC          |                   | 009411109 | 1,139          | 000000271900      | SH        |             | DEFINED           | 02        |
| ALLIS CHALMERS ENERGY INC    |                   | 019645506 | 37             | 000000019400      | SH        |             | DEFINED           | 01        |
| ARMSTRONG WORLD INDS INC NEW |                   | 04247X102 | 436            | 000000039637      | SH        |             | DEFINED           | 01        |
| BAIDU INC                    |                   | 056752108 | 1,543          | 000000008920      | SH        |             | DEFINED           | 01        |
| COMCAST CORP NEW             |                   | 20030N200 | 134            | 000000010443      | SH        |             | DEFINED           | 01        |
| ORE MARK HOLDING CO INC      |                   | 218681104 | 1,061          | 000000058235      | SH        |             | DEFINED           | 01        |
| DANA HOLDING CORP            |                   | 235825205 | 378            | 000000820656      | SH        |             | DEFINED           | 01        |
| DELTA AIR LINES INC DEL      |                   | 247361702 | 382            | 000000067861      | SH        |             | DEFINED           | 01        |
| DONNELLEY R R & SONS CO      |                   | 257867101 | 164            | 000000022400      | SH        |             | DEFINED           | 01        |
| FTI CONSULTING INC           |                   | 302941109 | 440            | 000000008900      | SH        |             | DEFINED           | 01        |
| EDERAL MOGUL CORP            |                   | 313549404 | 2,225          | 000000333160      | SH        |             | DEFINED           | 01        |
| GRACE W R & CO DEL NEW       |                   | 38388F108 | 83             | 000000013221      | SH        |             | DEFINED           | 01        |
| HORNBECK OFFSHORE SVCS INC N |                   | 440543106 | 173            | 000000011388      | SH        |             | DEFINED           | 01        |
| HUNTSMAN CORP                |                   | 447011107 | 165            | 000000052990      | SH        |             | DEFINED           | 01        |
| IDENIX PHARMACEUTICALS INC   |                   | 45166R204 | 928            | 000000301476      | SH        |             | DEFINED           | 02        |
| INNOPHOS HOLDINGS INC        |                   | 45774N108 | 264            | 000000023409      | SH        |             | DEFINED           | 01        |
| ISHARES TR                   |                   | 464288513 | 515            | 000000007600      | SH        |             | DEFINED           | 01        |
| JPMORGAN CHASE & CO          |                   | 46625H100 | 265            | 000000010000      | SH        |             | DEFINED           | 01        |
| EDCATH CORP                  |                   | 58404W109 | 131            | 000000018047      | SH        |             | DEFINED           | 01        |
| NRG ENERGY INC               |                   | 629377508 | 322            | 000000018350      | SH        |             | DEFINED           | 01        |
| NYSE EURONEXT                |                   | 629491101 | 899            | 000000052400      | SH        |             | DEFINED           | 01        |
| OWENS CORNING NEW            |                   | 690742101 | 437            | 000000048390      | SH        |             | DEFINED           | 01        |
| PRUDENTIAL PLC               |                   | 74435K204 | 11,396         | 000001296517      | SH        |             | DEFINED           | 01        |
| SCOTTS MIRACLE GRO CO        |                   | 810186106 | 246            | 000000007090      | SH        |             | DEFINED           | 01        |
| SELECT SECTOR SPDR TR        |                   | 81369Y308 | 321            | 000000015252      | SH        |             | DEFINED           | 02        |
| SOLUTIA INC                  |                   | 834376501 | 477            | 000000255491      | SH        |             | DEFINED           | 01        |
| SUPERIOR INDS INTL INC       |                   | 868168105 | 195            | 000000016500      | SH        |             | DEFINED           | 01        |
| TAIWAN SEMICONDUCTOR MFG LTD |                   | 874039100 | 969            | 000000109000      | SH        |             | DEFINED           | 01        |
| ARGACEPT INC                 |                   | 87611R306 | 3,190          | 000001190476      | SH        |             | DEFINED           | 02        |
| TYSON FOODS INC              |                   | 902494103 | 176            | 000000018813      | SH        |             | DEFINED           | 02        |
| VIRGIN MEDIA INC             |                   | 92769L101 | 146            | 000000030556      | SH        |             | DEFINED           | 01        |
| WINN DIXIE STORES INC        |                   | 974280307 | 187            | 000000019660      | SH        |             | DEFINED           | 01        |