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ALEXION PHARMACEUTICALS INC

Form 4 October 05, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

OMB APPROVAL

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Thiel Carsten

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

ALEXION PHARMACEUTICALS

INC [ALXN]

(Check all applicable) Director 10% Owner

EVP, Chief Commercial Officer

3. Date of Earliest Transaction

(Last) (First) (Middle)

(Month/Day/Year)

Filed(Month/Day/Year)

Other (specify X_ Officer (give title below)

10/01/2015

C/O ALEXION PHARMACEUTICALS, INC., 352

(Street)

KNOTTER DRIVE

4. If Amendment, Date Original

(Instr. 8)

Applicable Line)

X Form filed by One Reporting Person

CHESHIRE, CT 06410

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

(City) (State)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D)

5. Amount of Securities Beneficially Owned

6. Ownership 7. Nature of Indirect Form: Direct (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A)

(D)

(Instr. 3, 4 and 5)

Following Reported

Transaction(s)

(Instr. 3 and 4) Price

Common

share

1.Title of

Security

(Instr. 3)

Stock, par value 10/01/2015 \$.0001 per

2,000 Α (1)

Code V Amount

\$0 31,018

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Purchase Common Stocks	\$ 157.82	10/01/2015		A	6,700 (2)	10/01/2016	10/01/2025	Common Stock, par value \$.0001 per share	6,700

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

EVP, Chief Commercial Officer

Thiel Carsten

C/O ALEXION PHARMACEUTICALS, INC.

352 KNOTTER DRIVE

CHESHIRE, CT 06410

Signatures

/s/ Michael Greco, Attorney-in-Fact for Carsten
Thiel
10/05/2015

**Signature of Reporting Person

Date Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Award of Restricted Stock Units under the 2004 Incentive Plan. 25% vests on each anniversary of the grant date.
- (2) 25% vests on the first anniversary of the grant date and 1/16 every three months thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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