

French Tracy  
Form 4  
October 23, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
French Tracy

2. Issuer Name **and** Ticker or Trading  
Symbol  
HOME BANCSHARES INC  
[HOMB]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
P.O. BOX 966  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
10/19/2018

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
Centennial Bank CEO/ President

CONWAY, AR 72033

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Common Stock	10/19/2018		P		2,000	A \$ 19.247	112,601 D
Common Stock	10/19/2018		P		300	A \$ 19.25	112,901 D
Common Stock	10/19/2018		P		100	A \$ 19.2418	113,001 D
Common Stock	10/19/2018		P		100	A \$ 19.2441	113,101 D
Common Stock - Performance							100,000 <sup>(1)</sup> <sup>(2)</sup> D

# Edgar Filing: French Tracy - Form 4

Based

Common

Stock -

Restricted

16,667 <sup>(3)</sup> <sup>(4)</sup> D

Common

Stock

29,222 I IRA

Common

Stock

43,857.2993 <sup>(5)</sup> I 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Performance Stock Option	\$ 23.32					<sup>(6)</sup> 07/19/2028	Common Stock	150,000
Stock Option	\$ 8.62					<sup>(7)</sup> 01/17/2023	Common Stock	40,000
Stock Option	\$ 9.54					<sup>(8)</sup> 04/17/2023	Common Stock	40,000
Stock Option	\$ 14.71					<sup>(9)</sup> 01/15/2025	Common Stock	100,000
Stock Option	\$ 18.46					<sup>(10)</sup> 08/23/2025	Common Stock	150,000

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Reporting Owners

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French Tracy  
P.O. BOX 966  
CONWAY, AR 72033

X

Centennial Bank CEO/ President

## Signatures

/s/ Tracy French by Micah  
Osborne

10/23/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Performance Stock awarded on July 19, 2018 will vest in 33 1/3% installments over three years beginning on the third annual anniversary of the date that the performance goal is met.
- The Performance Stock awarded on August 24, 2015 will vest in 33 1/3% installments over five years beginning on the third annual anniversary of the date that the performance goal is met. The performance goal was met on December 31, 2016 and will therefore begin vesting in 33 1/3% installments on December 31, 2019.
- (2) Restricted Stock granted on August 24, 2015 will vest in 33 1/3% installments over five years beginning on the third anniversary of the award date. The first installment vested on August 24, 2018.
- (3) Restricted Stock granted on January 17, 2014 will "cliff" vest 100% three years from award date.
- Reflects the dollar value of the reporting person's units in a unitized stock fund under the Home BancShares, Inc. 401(k) Plan as of
- (5) October 22, 2018, divided by the closing price of Home BancShares common stock on that date. The unitized stock fund consists of Home BancShares common stock and a reserve of cash in amounts that vary from time to time.
- (6) The Performance Stock Option awarded on July 19, 2018 is exercisable in five equal annual installments beginning on the first annual anniversary of the date that the performance goal is met.
- (7) The option is exercisable in five equal annual installments. The first installment became exercisable on January 16, 2016.
- (8) The option is exercisable in five equal annual installments. The first installment became exercisable on January 18, 2014.
- (9) The option is exercisable in five equal annual installments. The first installment became exercisable on April 18, 2014.
- Once the performance goal has been met, the Performance Stock Option awarded on August 24, 2015 is exercisable in seven equal
- (10) annual installments beginning on the first annual anniversary of the award date. The performance goal was met on December 31, 2016. Therefore, the first installment became exercisable on August 24, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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